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| <p>(54) Title: IMPROVED HEARING APPARATUS</p> <p>(57) Abstract</p> <p>A hearing aid is configured and dimensioned so as to be inserted past the cartilaginous part (30) of the external auditory canal (external acoustic meatus) and into the bony part (32) of the external auditory canal. The outer portion of the hearing aid fits snugly into the cartilaginous part (30) of the external auditory canal; the microphone (14) is located at the acoustic focus (36) of the ear such that the natural sound and direction gathering functions of the human outer ear are fully utilized by the hearing aid. The inner portion of the hearing aid is articularly joined to the outer portion to enable the inner portion to be positioned past the sigmoid portion (42) of the external auditory canal and forms a soft covered, elongated speaker (12) which fits within part of the bony part (32) of the external auditory canal, without causing discomfort to the human user.</p>  |    |   |

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IMPROVED HEARING APPARATUSTECHNICAL FIELD

5           The present invention relates generally to  
hearing aids and listening devices and is  
particularly directed to a hearing aid that is  
physically dimensioned and configured to fit inside  
the external auditory canal (external acoustic  
10           meatus). The invention will be specifically  
disclosed in connection with a miniature hearing aid  
which has an outer portion located at the acoustic  
focus of the concha, having a microphone at this  
important focal point, and which has an inner portion  
15           located partially within the bony part of the  
external auditory canal, having an elongated speaker  
that is "closely-coupled" to the tympanic membrane.

BACKGROUND ART

20

Hearing aids are generally well-known in the art  
and in wide spread use. In a typical hearing aid, a  
microphone is used to pick up sound waves and convert  
that information into electrical signals. An audio  
25           amplifier magnifies the electrical signals within the  
frequencies of interest (20 Hz to 20 KHz), and then  
sends the amplified signals to a speaker located at  
the inner portion of the hearing aid. The speaker  
converts the electrical signals back into sound  
30           waves. In technical literature concerning hearing  
aids, speakers are often referred to as "receivers".

Many conventional hearing aids are relatively  
large devices that are quite visible to other  
35           persons. A recent trend has been to make the hearing

1 aid as small as possible, and to place a portion of  
it inside the ear where it is not visible. There are  
several patents which disclose hearing aids that  
ostensibly fit within the external auditory canal.  
5 It must be noted that, even in such patented  
inventions disclosing "in-the-canal" hearing aids, a  
portion of the hearing aid is visible and noticeable  
to other persons because the speaker and the  
electronics are too large to fit within the external  
10 auditory canal. One exception is disclosed in U.S.  
Patent No. 4,817,609 by Perkins, wherein the external  
auditory canal is surgically enlarged so that the  
disclosed hearing aid can fit deep inside the canal,  
thereby showing very little to outside observers.  
15 Such surgery is an extraordinary remedy that most  
human users would wish to avoid if a more  
satisfactory hearing aid were available.

Other U.S. Patents that disclose hearing aids  
20 which ostensibly fit within the external auditory  
canal do not depict the exact anatomy of the external  
auditory canal. The external auditory canal  
(external acoustic meatus) leads from the concha (the  
"bowl" of the ear) to the tympanic membrane  
25 (eardrum). The outer one-third of the canal is  
cartilaginous, and the inner two-thirds is bony. The  
canal is not straight, but in the horizontal plane (a  
Transverse Section--see Fig. 3A) it takes a sharp  
turn, approximately 90°, toward the rear, and then a  
30 milder turn back toward the front as the path is  
traced from the concha toward the tympanic membrane.  
The area containing these "S-shaped" turns is  
designated the sigmoid portion of the cartilaginous  
part of the external auditory canal. Hearing aids  
35 that are disclosed as "straight" in overall shape are  
just not able to be located within the external

1        auditory canal. Thre patents that disclos such  
hearing aids are U.S. Patent No. 4,520,236, by  
Gauthier, No. 4,539,440, by Sciarra, and No.  
4,706,778, by Topholm.

5        The Gauthier patent describes a hearing aid that  
snugly fits inside the external auditory canal,  
apparently including the bony part of the canal. The  
hearing aid appears (from the drawings) to extend the  
10        entire length of the auditory canal, virtually  
against the tympanic membrane; such a device would  
surely be very uncomfortable to wear. Additionally,  
the Gauthier patent discloses the use of an earmold  
that would contain the device. Unless the earmold  
15        was very flexible, it would be impossible to insert  
the hearing aid into its intended location inside the  
external auditory canal; a "straight" configuration  
needed to snugly fit into the inner (bony) part of  
the canal would not be able to be placed through the  
20        sigmoid portion of the external auditory canal.

      The Sciarra patent describes a hearing aid that  
has an adjustable diameter, which can be expanded  
(enlarged) in order to fit snugly inside the external  
auditory canal. The patent does not disclose  
25        precisely where the hearing aid is to sit in the  
canal. Since the drawings illustrate a "straight"  
device, it obviously cannot be placed very far into  
the canal, because it would not be able to make it  
through the sigmoid portion of the external auditory  
30        canal.

      The Topholm patent describes a hearing aid that  
has a hollow space at its innermost tip, which acts  
as a resonance chamber by enhancing the device's  
35        frequency respons in the 1000 Hz to 5000 Hz rang .

1       The patent does not disclose the location in the  
ext rnal auditory canal wherein th hearing aid is to  
be placed, nor does it disclose the exact shape of  
the entire hearing aid. All that is disclosed is a  
5       general tubular shape of the innermost tip, and it  
appears to fit somewhere in the cartilaginous part of  
the external auditory canal.

10       Another U.S. patent which discloses a hearing aid  
that ostensibly fits in the external auditory canal  
is No. 4,937,876, by Biermans. This patent does not  
disclose where the hearing aid is to sit in the  
external auditory canal. The drawings disclose a  
15       device which has a "receiver" (speaker) near its  
inner tip, with such speaker aiming directly toward  
the tympanic membrane. It is clear, however, that  
the speaker is too large in diameter to fit through  
the sigmoid portion of the external auditory canal,  
and therefore, this invention merely fits into the  
20       exterior opening of the external auditory canal with  
the major portion of hearing aid sticking outside the  
area of the concha.

25       It is important to note that, in order to  
minimize distortion in sound energy transferred to  
the tympanic membrane, a hearing aid speaker should  
have a surface area equal or greater than the surface  
area of the tympanic membrane. Since the surface  
area of the tympanic membrane is at least as great as  
30       an oblique cross-section area of the external  
auditory canal (as can be seen in FIGS. 3A and 4A of  
the present invention), it is therefore, obvious that  
a miniature speaker whose face is pointed directly at  
the tympanic membrane (as in the Biermans patent)  
must be at least as large as th cross-section area  
35       of the xternal auditory canal. Th inevitable

1 conclusion is that such a speaker cannot possibly fit  
past the sigmoid portion of the cartilaginous part of  
the external auditory canal.

5 The above four patents attempt to disclose  
hearing aids that are to be located in the external  
auditory canal. It is clear, however, from their  
general shape and size that a major portion of each  
10 of these devices must stick out of the ear in a  
manner that would be visible to others. Either the  
device is too "straight" to fit past the sigmoid  
portion of the external auditory canal, and/or the  
electrical components (including a battery) must  
15 reside outside the sigmoid portion of the canal due  
to their large overall size. Hence, the need for a  
miniature hearing aid that is small enough and  
properly shaped to fit deep inside the external  
auditory canal (without requiring ear surgery) has  
not yet been met by the above patented devices.

20 An improvement in the art was disclosed in U.S.  
Patent No. 4,870,688, by Voroba. The Voroba patent  
describes a modular hearing aid which is shaped (and  
sized) to partially fit in the external auditory  
canal such that a large portion of the device is  
25 hidden from view by an outside observer. A portion  
of the device extends into the inner portion of the  
canal past the sigmoid portion of the external  
auditory canal. As the Voroba patent discloses, it  
is desirable to have the hearing aid extend further  
30 into the external auditory canal since the closer the  
hearing aid is to the tympanic membrane (eardrum),  
the greater the effective sound output of the hearing  
aid. The Voroba hearing aid uses a number of "hard"  
components, having individual geometries which  
35 provide for the accommodation of anatomical

1 variations in individual users. The collection of  
modular hard parts are at least partially enclosed  
and extended by a compliant covering. The covering  
of the inner portion of the Voroba hearing aid is  
5 made of soft (compliant) material, and it may  
penetrate up to 3/4 of the length of the external  
auditory canal, thereby increasing the effective gain  
of the hearing aid by 6 to 10 dB over conventional  
"in-the-canal" hearing aids.

10 It must be noted, however, that the Voroba  
invention does not place its speaker at the innermost  
portion of the device. The speaker is, instead,  
located further toward the outer portion of the  
device (approximately in the center of the device  
15 according to the drawings), and a sound-carrying  
tube, surrounded by soft, resilient material, extends  
to the innermost tip of the device. In effect, the  
speaker (called a "receiver" in the Voroba patent)  
emits sound waves into the tube, and the tube acts as  
20 a passive wave guide toward the inner portion of the  
external auditory canal, and toward the tympanic  
membrane. The Voroba patent, therefore, only teaches  
the concept used in the prior art of having passive  
elements in the innermost portion of the hearing  
25 aid. Such passive elements are merely  
space-consuming conduits which transfer the acoustic  
energy from the active, sound-generating surface of  
the speaker. The air inside such passive element is  
compressible, so this system still lacks a certain  
30 amount of efficiency, and compromises the faithful  
reproduction of the soundwave at the tympanic  
membrane. In essence, the overall system of hearing  
aid speaker to tympanic membrane is not  
"closely-coupled."

35



1           Clos coupling of an acoustic source to the  
tympanic membrane is necessary for the realization of  
the beneficial attributes gleaned by signal  
processing for the treatment of hearing deficit.  
5       Devices in the prior art for generalized signal  
processing, including U.S. Patent No. 4,637,402 by  
Adelman, and Patent Numbers 4,882,762, and 4,882,761  
by Waldhauer, demonstrate optimization techniques for  
manipulating the electronic representation of the  
10       audio signal, but fail to provide optimal  
presentation as a sound wave to the tympanic  
membrane. Thus, generalized signal processing  
techniques of the prior art are limited by the  
ability of the output transducing device (the  
15       speaker) and, therefore, are not closely coupled  
systems.

          To achieve a more closely-coupled system, the  
amount of compliant material between the active face  
20       of the speaker and the receptive face of the tympanic  
membrane must be kept to a minimum. The best method  
to achieve such a system is to reduce the volume of  
air (thereby reducing the amount of compliant  
material) contained in the active path of the sound  
waves. The beneficial effects of such a system are  
25       (1) better bandwidth, (2) greater efficiency of  
energy transmission, and (3) reduced distortion of  
the auditory signal. A better method for achieving  
such a closely-coupled system is to locate the active  
speaker itself inside the external auditory canal, as  
30       close to the eardrum as feasible, while also keeping  
the amount of compliant material (the amount of air  
volume) in the system to a minimum.

35

SUMMARY OF THE INVENTION

1

Accordingly, it is a primary object of the present invention to provide a hearing aid that is properly shaped, sized, and oriented to fit within the external auditory canal, causing the speaker element to fit in the canal at a point between the sigmoid portion of the canal and the tympanic membrane.

10

It is another object of the present invention to provide a hearing aid that is properly shaped, sized and oriented to fit within the external auditory canal, with the speaker element located in the canal between the sigmoid portion of the canal and the tympanic membrane, whereby the hearing aid is covered by a disposable boot that prevents contamination and seals the external auditory canal so that the volume of air between the hearing aid and the tympanic membrane is held constant.

20

It is yet another object of the present invention to provide a hearing aid that is properly shaped, sized, and oriented to fit within the external auditory canal, whereby the speaker element has an elongated shape so as to not only fit deeply in the canal between the sigmoid portion of the external auditory canal and the tympanic membrane, but also to allow the speaker to exhibit a "high-fidelity" frequency response in the human hearing range of 20 Hz to 20 KHz, and to minimize distortion.

30

A further object of the present invention is to provide a hearing aid which has an inner portion that is properly shaped, sized, and oriented to fit within the external auditory canal, whereby the outer

35

1       portion (the microphone and the electrical,  
electronic, and signal processing components) may be  
miniaturized to an extent that, while it is in use,  
the outer portion of the hearing aid is barely  
5       noticeable to another person who is observing the  
user.

10       A yet further object of the present invention is  
to provide a hearing aid which has an inner portion  
that is properly shaped, sized, and oriented to fit  
within the external auditory canal, whereby the  
microphone in the outer portion is located at the  
acoustic focus of the concha, thereby utilizing the  
natural sound gathering and direction locating  
15       anatomical features of the human ear to the greatest  
possible extent.

20       A still further object of the present invention  
is to provide a hearing aid that is properly shaped,  
sized, and oriented to fit within the external  
auditory canal, whereby the external tip of the  
hearing aid at the microphone contains a large on-off  
control which can be actuated by the fingertip of the  
human user, and can also be used as a volume control,  
25       and a "treble-bass" filter control.

30       It is yet another object of the present invention  
to provide a hearing aid that is properly shaped,  
sized, and oriented to fit within the external  
auditory canal and has its microphone at the acoustic  
focus of the concha, whereby a hand-held transmitter  
is used to adjust the volume level and the  
treble-bass filter of the hearing aid. Such a  
hand-held transmitter could use radio frequency  
35       electromagnetic radiation to carry the necessary  
information to the hearing aid, or it could use other

1        wav lengths of el ctromagnetic radiati n to carry the  
information, such as ultraviol t, infrared, or  
microwave frequencies. Ultrasonic sound waves could  
even be used to perform the above task.

5                It is still another object of the present  
invention to provide a hearing aid that is properly  
shaped, sized, and oriented to fit within the  
external auditory canal and has its microphone at the  
10        acoustic focus of the concha, whereby a radio link is  
also used to provide signal processing by a remote  
computer linked to the hearing aid. Such signal  
processing can be used to enhance certain  
frequencies, remove background noise, or to remove  
15        other unwanted sound patterns.

              A still further object of the present invention  
is to provide a hearing aid that is capable of  
amplifying or attenuating the conductive sound  
20        (conducted through the bones) that is created by the  
human user's own voice.

              A yet further object of the present invention is  
to provide a hearing aid that is properly shaped,  
25        sized, and oriented to fit within the external  
auditory canal, and to combine a radio receiver as an  
input to the amplifier such that the hearing aid  
speaker would output both information received from a  
radio station, and sound wave information received by  
30        the hearing aid input microphone (at a reduced  
volume, if desired). Such received radio frequencies  
could be in the commercial AM and FM bands.

              Additional objects, advantages and other novel  
35        f atur s f th invention will be set forth in part  
in th d scription that f llows and in part will

1       becom appar nt to those skilled in the art upon  
examination of th following or may be l arned with  
the practice of the invention. The objects and  
advantages of the invention may be realized and  
5       obtained by means of the instrumentalities and  
combinations particularly pointed out in the appended  
claims.

10       To achieve the foregoing and other objects, and  
in accordance with the purposes of the present  
invention as described herein, an improved hearing  
aid is provided having substantially small overall  
size and the correct shape to fit in the external  
auditory canal of the human ear. The speaker element  
15       of the hearing aid is placed within the canal at a  
point between the sigmoid portion of the canal and  
the tympanic membrane. The hearing aid is covered by  
a disposal boot that prevents contamination of the  
functional parts of the hearing aid and seals the  
20       external auditory canal around the hearing aid so  
that the volume of air between the hearing aid and  
the tympanic membrane is held constant. The central  
portion of the boot consists of a deformable  
material, so that one size of hearing aid will fit  
25       most human users. This deformable material tends to  
retain its original size and shape, such that it will  
press snugly against the inner diameter of the  
external auditory canal of the user's ear,  
particularly at the entrance to the external auditory  
30       canal. This deformable material seal also serves as  
a sound insulator which prevents feedback from the  
speaker to the microphone of the hearing aid.

35       The fact that the deformable boot tends to seal  
the volume of air inside the external auditory canal,  
between the point that the hearing aid makes c ntact

1 with the inner membrane of the user's ear and the  
tympanic membrane, is important to achieve a  
closely-coupled system. As discussed above, to  
5 achieve a closely-coupled system, the amount of  
compliant material between the active face of the  
speaker and the receptive face of the tympanic  
membrane must be kept to a minimum. By sealing the  
volume of air inside the overall system that consists  
10 of the hearing aid, the air column, and the tympanic  
membrane, the amount of compliant material (the air)  
is minimized and kept constant, so that motion at the  
speaker is accommodated only by a responsive motion  
of the tympanic membrane, along with avoiding  
unwanted resonances in the small volume of trapped  
15 air.

In accordance with a further aspect of the  
invention, the speaker element of the hearing aid has  
an elongated shape so as to not only fit in the  
20 external auditory canal between the sigmoid portion  
of the cartilaginous part of the external auditory  
canal and the tympanic membrane, but also to have a  
large enough surface area to cause a sympathetic  
vibration of the tympanic membrane. Such large sound  
25 generating surface enables the speaker to produce  
sound energy which is largely devoid of harmonic  
distortion in the normal human hearing range of 20  
Hertz to 20 KiloHertz. The overall cross sectional  
shape of the speaker element is generally that of a  
30 flattened tube. The acoustic output of the speaker  
is created by a speaker membrane which is driven by  
an electromagnetic linear motor. In one embodiment,  
the linear motor consists of a permanent magnetic  
field and an oval-shaped current-carrying coil which  
35 is disposed within the magnetic field. The coil is  
permanently affixed to the speaker membrane (its

1 fac ), forming an armature. A portion of the speaker  
structure consists of one or more resonant cavities  
on the interior of the speaker membranes tunably  
5 suitable for the enhancement of certain portions of  
the frequency spectrum. The speaker must consist of  
at least one armature that forms the speaker's face,  
however, in a second embodiment, there are two  
separate faces, on opposite sides of the speaker.  
10 Each of these two faces may have its own resonance  
cavity and its own compliant properties, thereby  
allowing each speaker face to be used for the  
enhancement of a different portion of the frequency  
spectrum, such as treble or bass.

15 According to a further aspect of the invention,  
the speaker membrane is in the form of an oval plane  
and has compliance enhancing ripples near its  
attachment edges. A substantial portion of the plane  
is movable as a rigid body, yet the ripples near its  
20 attachment edges greatly enhance the performance of  
the speaker in the form of greater efficiency.

In yet a further aspect of the invention, the  
overall speaker portion of the hearing aid is  
25 articulated at its attachment point to the rest of  
the main body of the hearing aid. This allows the  
speaker element to fit past the sigmoid portion of  
the external auditory canal, and thereby allows the  
entire speaker to fit inside the canal.

30 In yet another aspect of the invention, the  
remaining components of the hearing aid, i.e., the  
microphone and the electrical components, are  
miniaturized to the extent that the entire hearing  
aid is barely visible to another person who is  
35 observing the user. This is made possible by

1 constructing the hearing aid such that the entire  
speaker element fits inside the external auditory  
canal, and the portion of the hearing aid that  
5 contains the battery and the electronic components  
fits at the very entrance of the canal, such that the  
microphone is located at the acoustic focus of the  
concha. As discussed above, the shape of the hearing  
aid and the configuration and orientation of its  
10 elements is very important so that the desired  
location of its placement in a human ear is  
possible. As practiced by this invention, the entire  
hearing aid is substantially out of sight of another  
observer, except for the microphone itself, which is  
15 at the very entrance of the external auditory canal  
(i.e., at the acoustic focus of the concha). By  
locating the active elements of the entire hearing  
aid deeper in the external auditory canal, the  
hearing aid does not protrude out from the concha,  
and therefore, cannot be seen by others.

20 In yet another aspect of the invention, the  
microphone is located at the acoustic focus of the  
concha. This arrangement maximizes the natural sound  
gathering and direction locating anatomical features  
25 of the human ear. Since the concha (the "bowl" of  
the ear) is naturally designed to be the focal point  
of sound entering the human ear, its acoustic focal  
point is also the logical location for a microphone  
of a hearing aid. Until the present invention,  
30 however, no hearing aid has been able to place the  
microphone specifically at this point. While the  
type of microphone used in this invention is not  
crucial, it must, however, be small in size in order  
to fit inside the concha, and it should also operate  
35 using little electrical power. Two microphones  
technologies that have been successfully utilized in



1        this invention are the el ctret, and the  
pi zo-el ctric types.

5        In a further aspect of the invention, the  
electronics of the hearing aid include volume and  
tone (treble - bass) functions. The volume function  
can have an automatic gain control circuit, and the  
gain of the electronics can either be linear or  
non-linear, as necessary, to minimize or eliminate  
10       distortion.

15       In accordance with yet another aspect of the  
invention, the external prominence of the hearing  
aid, essentially at the location of the microphone,  
contains an on/off control which can be actuated by  
the fingertip of the human user. Fingertip actuation  
of this control also provides a volume control and  
treble-bass filter control in one embodiment.

20       In accordance with a still further aspect of the  
invention, a hand-held transmitter is used to adjust  
the volume level and the treble-bass filter of the  
hearing aid. In one embodiment the hand-held  
transmitter uses radio frequency electromagnetic  
25       radiation to carry the necessary information to the  
hearing aid. In a second embodiment, the transmitter  
uses electromagnetic radiation in the infrared  
frequency spectrum to carry the necessary information  
to the hearing aid. It is obvious that any safe  
30       frequency of electromagnetic radiation could be used  
to carry the necessary information to the hearing aid  
over the short range required. Ultrasonic sound  
waves could even be used to perform this task.

35       According to yet another aspect of the present  
inv nti n, a single-part hearing aid (which includes

1 substantially the same elements as in the single-part  
hearing aid described above) is combined with a  
self-contained enhanced signal processing unit. Such  
enhanced signal processing can remove background  
5 noise, enhance certain frequencies, or remove other  
unwanted sound patterns. This aspect of the  
invention can be utilized to greatly enhance the  
performance of the hearing aid for persons having  
particularly profound hearing dysfunction.

10 According to a yet further aspect of the  
invention, a radio link is used to provide enhanced  
signal processing to the hearing aid. Such signal  
processing is performed by a remote signal processing  
15 unit which can be used to enhance certain  
frequencies, remove background noise, or also to  
remove other unwanted sound patterns. The radio link  
would be best utilized as a simultaneous two-way link  
(full duplex) whereby the original sound is captured  
20 by the microphone of the hearing aid portion of this  
system (which consists of substantially the same  
elements as in the single-part hearing aid described  
above), then transmitted by the radio link to the  
signal processing portion of this system. The signal  
25 processing portion can be a portable unit, strapped  
to the user's clothing, or it can be a stationary  
unit for non-mobile use. After processing, the  
information is retransmitted from the signal  
processing portion by radio link back to the hearing  
aid portion for transfer to the speaker output of the  
30 hearing aid. This remote enhanced signal processing  
portion is available when the electronic elements are  
too large in size, or are too great in electrical  
power consumption to fit within the anatomical  
limitations of the above-described single part  
35 hearing aid. This aspect of the invention can be

1 utilized to greatly enhance the performance of the hearing aid for persons having particularly profound hearing dysfunction.

5 According to a still further aspect of the invention, use of an accelerometer or other rigid body motion sensing device cancels or enhances the conductive sound that is created by the human user's own voice. Such sound waves are conducted through  
10 the solid structure of the speaker's head into the temporal bone, which conducts the sound waves directly into the cochlea of that speaker's ear. Depending upon the hearing needs of the particular user of the hearing aid, such conductive sound would  
15 be best enhanced or attenuated by the hearing aid. In this aspect of the invention, the accelerometer or other rigid body motion sensor is attached to the surface of the hearing aid at a point where it most closely comes in contact with the solid portion of  
20 the external auditory canal. In this way, the accelerometer can sense directly the conductive sound waves created by the human user's own voice. Such sound waves would then be either amplified or attenuated, and then mixed with air-borne sound  
25 detected by the microphone according to the user's needs. The degree of amplification, attenuation, or mixing could be controlled by the previously mentioned hand-held transmitter, or through a separate control that the user could actuate with his  
30 fingertip.

In yet a still further aspect of the invention, a radio receiver is also placed inside the hearing aid such that the hearing aid speaker would output  
35 information received from both the radio station, and sound wave information received by the hearing aid

1 input microphone. The most common set of radio  
frequencies that would be received would be the  
commercial AM and FM bands of frequencies. Once  
again, it would be desirable to be able to adjust the  
5 volume of the received radio frequencies independent  
of the volume received by the microphone. Such  
volume controls could be located in the previously  
mentioned hand-held transmitter, or by a fingertip  
control.

10 In accordance with another aspect of the  
invention, no external air vent is required to tune  
the acoustical pathway between the speaker and the  
eardrum. The possibility of "whistling," because of  
15 feedback from the speaker to the microphone, via that  
type of conduit is entirely eliminated. Very high  
amplification is thus possible in a miniaturized  
hearing aid that fits in the external auditory canal  
without the bothersome quality of "whistling."

20 Still other objects of the present invention will  
become apparent to those skilled in this art from the  
following description wherein there is shown and  
described a preferred embodiment of this invention,  
25 simply by way of illustration, of one of the best  
modes contemplated for carrying out the invention.  
As will be realized, the invention is capable of  
other different embodiments, and its several details  
are capable of modification in various, obvious  
30 aspects all without departing from the invention.  
Accordingly, the drawings and descriptions will be  
regarded as illustrative in nature and not as  
restrictive.

35

1                    BRIEF DESCRIPTION OF THE DRAWINGS

                  The accompanying drawings incorporated in and  
forming a part of the specification illustrate  
5                    several aspects of the present invention, and  
together with the description serve to explain the  
principles of the invention. In the drawings:

                  FIGS. 1A-1E show several views of the complete  
10                   hearing aid device constructed in accordance with the  
principles of the present invention;

                  FIG. 1A is a cross-sectional elevation view of  
the entire device constructed in accordance with the  
15                   principles of the present invention;

                  FIG. 1B is a top plan view of the hearing aid  
device of FIG. 1A;

20                   FIG. 1C is an elevational view of the hearing aid  
device of FIG. 1A, showing the details of a  
disposable boot in cross-section, including its  
deformable material portion;

25                   FIG. 1D is a partial cross-sectional view taken  
along line 1D-1D of FIG. 1A;

                  FIG. 1E is a bottom plan view of the hearing aid  
device of FIG. 1A, illustrating a loop antenna in the  
30                   base;

                  FIG. 2 is an oblique view of a human head,  
showing the anatomical sections designated as the  
coronal section, and the transverse section;

35

1           FIG. 3A shows the correct anatomical view of the  
transverse section of the human ear, taken along line  
3-3 in FIG. 2;

5           FIG. 3B shows the same view as FIG. 3A, however,  
it includes the placement of the hearing aid device;

10           FIG. 4A shows the correct anatomical view of a  
coronal section of the human ear, taken along line  
4-4 in FIG. 2;

FIG. 4B shows the same view as FIG. 4A, however,  
it also includes the placement of the hearing aid  
device;

15           FIGS. 5A-5C show the details of the speaker  
portion of the hearing aid device of FIG. 1A;

20           FIG. 5A is a plan view of the speaker portion of  
the hearing aid device of FIG. 1A, and a  
cross-sectional view of its articulated joint;

25           FIG. 5B is a longitudinal cross-section view of  
the speaker portion, taken along line 5B-5B of FIG.  
5A;

FIG. 5C is a sectional view of the speaker  
portion, taken along line 5C-5C of FIG. 5B;

30           FIGS. 6A-6C show the details of the outer cover  
of the hearing aid device of FIG. 5A;

FIG. 6A is a plan view of the speaker cover of  
FIG. 5A;

35

1           FIG. 6B is a cross-sectional elevation view of  
the speaker cover, taken along line 6B-6B of FIG. 6A;

5           FIG. 6C is a cross-sectional elevation view of  
the speaker cover, taken along line 6C-6C of FIG. 6A;

FIGS. 7A-7C show the details of the armature of  
the hearing aid device of FIG. 5A;

10          FIG. 7A is a plan view of the speaker armature of  
FIG. 5A;

15          FIG. 7B is a cross-sectional elevation view of  
the armature, taken along line 7B-7B of FIG. 7A;

FIG. 7C is a cross-sectional elevation view of  
the armature, taken along line 7C-7C of FIG. 7A;

20          FIGS. 8A-8C show details of the microphone using  
an electret device;

FIG. 8A is a top plan view of a microphone used  
in the hearing aid device of FIG. 1A;

25          FIG. 8B is a cross-sectional elevation view of  
the microphone of FIG. 8A;

FIG. 8C is an enlargement of the upper right hand  
corner portion of FIG. 8B;

30          FIGS. 9A-9C show an alternative microphone using  
a piezo electric device;

35          FIG. 9A is a top plan view of an alternative  
microphone for the hearing aid device of FIG. 1A;

1           FIG. 9B is a cross-sectional elevation view of  
the microphon of FIG. 9A;

5           FIG. 9C is an enlargement of the upper right hand  
corner portion of FIG. 9B;

          FIG. 10 shows an accelerometer, used in the  
hearing aid device of FIG. 1A;

10          FIG. 11 is an electrical schematic of the hearing  
aid device of FIG. 1A having local controls.

15          FIG. 12 is an alternative electrical schematic of  
the hearing aid device of FIG. 1A, in this case,  
having a remote hand-held controller which  
communicates to the hearing aid device;

20          FIG. 13 is another alternative schematic for the  
hearing aid device of FIG. 1A which, in addition to  
what is described in FIG. 12, also has a  
accelerometer input;

25          FIG. 14 is another alternative electrical  
schematic that shows a signal processing unit which  
is remote to the hearing aid, and is in constant  
communication with the hearing aid device of FIG. 1A;

30          FIG. 15 is an electrical schematic which shows a  
remote hand-held device which communicates with the  
hearing aid device of FIG. 1, which in addition,  
contains a radio receiver.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

35          Referring now to the drawings, a preferred  
embodiment of th hearing aid device 10 is shown,



1 containing a speaker portion 12, a microphone portion  
14, and a main body portion 16. Several views of  
these portions of the hearing aid device 10 are  
illustrated in FIGS. 1A-1E. FIG. 1B shows a  
5 preferred location for the electronic components of  
the device 10. An integrated circuit which makes up  
an accelerometer is illustrated shown as an  
electronic chip 50. An integrated circuit which  
contains the amplifiers and any transmitter and  
10 receiver components is illustrated as an electronic  
chip 52. A third electronic chip 51 for a third  
integrated circuit is disposed between chips 50 and  
52, and can be used for additional transmitter  
components, as well as any desired supplemental  
15 signal processing circuitry. Electrical connections  
from the speaker and microphone portions 12 and 14 to  
the electronic components are preferably made at the  
connection of electronic chip 51.

20 As illustrated in FIG. 1C, the hearing aid 10 is  
covered with a disposal boot 20, which is made of an  
open cell deformable foam material which has a  
memory. The portion 21 of the disposable boot 20  
which fits over the speaker portion 12 is very thin,  
25 in the order of 1mm, and is shown with an exaggerated  
thickness in FIG. 1C for purposes of illustration.  
One of the functions of the disposable boot 20 is to  
seal the air inside the external auditory canal so  
that it cannot escape nor can any atmospheric air  
enter that area, once the hearing aid 10 is in  
30 place. This is accomplished by increasing the  
thickness of the boot 20 in the portion 22  
surrounding the articulated joint 102. Another  
function of the disposable boot 20 is to prevent  
contamination of the hearing aid by acting as a  
35 shield against ear wax, (cerumen) and other

1        exfoliants of the epithelium of the ear canal.  
Anther featur of the disposabl boot 20 is a  
pull-off tab 24 which allows the user to grip that  
portion of the disposable boot and pull the entire  
5        hearing aid out from the user's ear.

As most clearly shown in FIG. 1D, the hearing aid  
device 10 uses a power source, which in the preferred  
embodiment comprises two batteries 54. The batteries  
10       54 of the preferred embodiment are of the type 377  
and are not connected in series, but are instead used  
to provide a bipolar DC power source for the  
electronics of the hearing aid. It is obvious that  
other DC power sources could be used in lieu of the  
15       batteries 54.

A detail of the loop antenna 78 is illustrated in  
FIG. 1E. Such loop antenna 78 could be used for any  
radio frequency transmitter or receiver devices that  
might be used in conjunction with the hearing aid 10.  
20

In order to understand the significance of  
several aspects of this invention, it is necessary to  
fully appreciate the precise anatomy of the human  
ear. FIG. 3A is an anatomically accurate, transverse  
25       section of the human ear showing the important  
structural details relevant to the present  
invention. Starting at the exterior point of the  
ear, the curved surface of the concha 41 is  
illustrated in the region bounded by the bracketed  
30       lines 40 in the illustration of FIG. 3A. The  
acoustic focus of the concha 41 is located at the  
point identified by the numeral 36. The point 36 is  
the location where the natural shape of the human ear  
focus s incoming sound wav s. The external auditory  
35       canal is formed by two distinct portions. The outer

1       most portion of the external auditory canal, called  
the cartilaginous part of the external auditory  
canal, is the portion enumerated 30 between the two  
bracketed lines. The innermost portion of the  
5       external auditory canal is called the bony part of  
the external auditory canal 32, and lies between the  
innermost two bracketed lines. The tragus 38 lies at  
the entrance to the external auditory canal opposite  
the concha 41. The sigmoid portion of the  
10       cartilaginous part of the external auditory canal is  
the S-shaped dashed line identified by the numeral  
42. The average inner diameter of the external  
auditory canal is approximately 7 mm. At the  
innermost portion of the external auditory canal lies  
15       the tympanic membrane 34, which is also called the  
eardrum. The effective surface area of the tympanic  
membrane lies in the range of 30-35 square mm.

20       The same anatomical features of the human ear are  
again accurately depicted in FIG. 4A, however, FIG.  
4A is a coronal section of the human ear, which is  
90° from the transverse section of FIG. 3A.

25       FIG. 3B depicts the hearing aid device 10  
positioned in the human ear. As can be seen in FIG.  
3B, the main body portion 16 of the hearing aid 10 is  
located directly at the entrance of the external  
auditory canal. The main body position 16 lies in  
contact with, and is hidden from view by the tragus  
38. The microphone portion 14 of the hearing aid 10  
30       is advantageously located such that it is directly at  
the acoustic focus of the concha 36 so that it  
maximizes the natural sound gathering and direction  
locating anatomical features of the human ear. The  
speaker portion 12 of the hearing aid is located  
35       entirely inside the external auditory canal, and it

1 fits past the sigmoid portion 42 of the cartilaginous  
part of the external auditory canal. Quite  
significantly, the speaker portion 12 is designed to  
fit entirely inside the external auditory canal, yet  
5 has a large enough surface area of active speaker  
element to effectively vibrate the human tympanic  
membrane 34.

10 The same elements of the hearing aid device 10  
are described in the companion view, FIG. 4B, which  
is a coronal section of the human ear. Again, the  
microphone portion 14 of the hearing aid is located  
at the acoustic focus of the concha 36, and the  
speaker portion 12, which is clearly shown in this  
15 view, is located entirely inside the external  
auditory canal well past the sigmoid portion.

The speaker portion 12 of the hearing aid device  
10 consists largely of a linear motor 100, which is  
described in detail in FIGS. 5A-5C. The top cover  
20 112 of the linear motor 100 consists of magnetically  
permeable material. There are a number of air holes  
104 of different sizes in the top cover 112. In the  
embodiment of FIG. 5B, there is also a bottom cover  
25 152, also consisting of magnetically permeable  
material, and is constructed similarly to the top  
cover, also having air holes (not shown). The entire  
linear motor 100 is held together and surrounded by  
an outer housing 140. In the preferred embodiment of  
30 FIGS. 5A-5C, the outer housing 140 is made of  
shrinkable plastic material. The outer housing 140  
is pressed around the outer pole piece 132, which is  
also called a banjo housing. The outer pole piece  
132 is made of magnetically permeable material; in  
the preferred embodiment it is made of soft steel.  
35 The outer pole piece 132 extends through the ball of

1 the articulated joint 102, and is hollow in that  
region, acting as a conduit for the critical  
conductors 118 that lead to the speaker coils 116 and  
148. The articulated joint 102 allows the speaker  
5 portion 12 to pivotally move in relation to the main  
body portion 16, which allows the speaker portion 12  
to easily fit in the external auditory canal.

10 The top speaker membrane 114 consists of a three  
micron polyester film having a surface area at least  
equal to the effective surface area of the tympanic  
membrane, i.e., approximately 32 square mm in the  
preferred embodiment. The elongated oval shape and  
15 construction of the top speaker membrane 114 is also  
disclosed in FIGS. 7A-7C. The top coil 116 is  
rigidly affixed to the top speaker membrane 114 at  
attachment edges 120. To make the speaker more  
effective, compliance enhancing ripples 124 are  
20 formed in the top speaker membrane 114. An  
additional feature to make the speaker more effective  
is the curved pleats 122 in the material of the top  
speaker membrane. These pleats 122 are formed by  
serrating the mold for the top speaker membranes, and  
they enhance further the compliance of the top  
25 speaker membrane 114. The top speaker coil 116  
consists of 15 turns of oval shaped windings, and is  
constructed of Number 48 AWG coated copper magnet  
wire. The coating consists of a polymeric insulation  
material and a secondary rubberized plastic  
30 shape-holding material. The top spacer ring 144  
holds the very outer edges of the top speaker  
membrane 114 in place, and consists of metallic  
material such as brass. The top armature of the  
linear motor includes the top speaker membrane 114,  
35 the top coil 116, and the top spacer ring 144.

1           Th bottom speaker armatur consists of the same  
types of compon nts and mat rials as does the t p  
speaker armature. In the case of the bottom  
armature, there is a bottom speaker membrane 150, a  
5 bottom coil 148, and a bottom spacer ring 154. The  
materials of the bottom armature are virtually the  
same as that of the top armature, however, certain  
features may be varied to achieve a tweeter-type  
speaker on the top (having enhanced treble response),  
10 for example, and a woofer-type speaker on the bottom  
(having enhanced bass response). Such features that  
could be varied are those that affect the mass,  
spring and damping characteristics of the armature,  
such as the thickness of the speaker membranes, the  
15 number of windings of the coil, and the size of the  
magnet wire which makes up the coil, and also the  
size and shape of the resonance cavities. The top  
speaker resonance cavity is identified by the numeral  
126, and the bottom speaker has a similar resonance  
20 cavity identified by numeral 156, which is larger in  
size (volume) for enhanced bass response in the  
illustrated embodiment. The control gap 130 can be  
used to vary the amount of air that can be exchanged  
between two resonance cavities 126 and 156.

25           The linear motor 100 additionally consists of a  
permanent magnet 136, and a magnet support piece  
134. The permanent magnet of the preferred  
embodiment consists of Neodimium-Boron-Iron, or  
30 Samarium Cobalt. Neodimium-Boron-Iron can exert a  
stronger magnetic field than Samarium-Cobalt,  
however, Samarium-Cobalt will not rust.

35           The attachment edges 120 are node points for the  
attachment of th coils to the speaker membranes.  
This attachment is mad by a rubb r-based glu . The

1 speaker of the preferred embodiment, as described  
above, is a moving coil circuit, whereas prior art  
small hearing aid speakers generally have used  
variable reluctance circuits, which generally have  
5 given poor low frequency performance.

The microphone portion of the hearing aid 10 is  
detailed in FIGS. 8A-8C and 9A-9C. The embodiment  
illustrated in FIGS. 8A-8C uses an electret type  
10 microphone. Forming an outer housing for the  
microphone is the microphone cover 160. This cover  
can be made of formed metal, such as aluminum, or  
formed plastic. Just inside this cover is a first  
spacer 162, which consists of a material which is  
15 electrically nonconductive. This spacer is used to  
maintain a gap between the microphone cover 160 and  
the microphone diaphragm 164. The microphone  
diaphragm consists of a permanently charged material,  
such as metallized film or metallized polyester. On  
20 the other side of the microphone diaphragm 164 is a  
second spacer 166 which consists of a material which  
is electrically nonconductive. The second spacer 166  
maintains the quiescent gap between the microphone  
diaphragm 164 and the plate 168.

25 The plate 168 consists of conductive metal, such  
as nickel plated copper, or steel. The plate 168  
rests on top of the mounting block 172, and also is  
attached to the gate 176 of a field effect transistor  
174. The mounting block 172 is formed of  
30 electrically nonconductive material such as plastic.  
The mounting block contains a provision 170 for  
venting the gap which is inside the second spacer 166  
and is between the microphone diaphragm 164 and the  
plate 168. The field effect transistor 174 also has  
35 a source 178 and a drain 180, and with a pair of

1        wir s 182 attach d, on to th gat and one to th  
      sourc . Such el ctret microphone assemblies 184 ar  
      available in the prior art, such as one made by  
      Panasonic having a part number WM-6A.

5        The microphone portion 14 illustrated in FIG. 8  
      also consists of two potentiometers and the on/off  
      switch. The on/off switch consist of a conductive  
      ring 190 which has a gap for the off portion of the  
10        ring. The turning of the microphone cover 160  
      actuates this on/off switch. The treble-bass filter  
      control consists of a first potentiometer. The first  
      potentiometer has a ring of resistance film media  
15        194, which is not necessarily uniform, and a  
      rotatable wiper 196. The first potentiometer media  
      194 is physically located and held in place by a  
      nonconductive support 198. The rotatable wiper 196  
      is only engaged to rotate when the actuator 210 is  
      depressed while being rotated. The actuator 210 is  
20        forced down when the microphone cover 160 is  
      depressed. The support structure 192 is the overall  
      housing base for maintaining the potentiometers in  
      place while the microphone cover 160 is being  
      depressed.

25        A second potentiometer controls the volume of the  
      hearing aid. This second potentiometer consist of a  
      ring of resistance film media 202, a rotatable wiper  
      204, and physical support which consists of a  
30        nonconductive support 206. The second  
      potentiometer operates in the opposite sense as the  
      first potentiometer in that its rotatable wiper 204  
      is actuated when the actuator 110 is not depressed.  
      When the actuator 210 is not depressed, the spring  
35        212 ke ps tension on the rotatable wiper 204, and  
      allows it to b rotated. To eff ctiv ly communicate



1       electrical information to the control means, the  
      potentiometers and the on/off control must have  
      conducting means such as wires attached to them. A  
      pair of wires 200 runs to the first potentiometer, a  
5       second pair of wires 208 runs to the second  
      potentiometer, and a third pair of wires 214 runs to  
      the on/off ring.

      A piezo type microphone can alternatively be used  
10       rather than the electret type microphone. In the  
      embodiment of FIG. 9, the microphone cover 220 is  
      approximately the same size as the electret  
      microphone cover 160. In this case, the microphone  
      cover 220 must be made out of a material which is  
15       electrically nonconductive. Just beneath the  
      microphone cover 220 is the first spacer 222. This  
      first spacer consists of an electrically conductive  
      material, and is connected by a wire to the positive  
      input of the microphone transducer amplifier. Below  
20       (on the other side of) the first spacer 222 is the  
      microphone diaphragm 224. This diaphragm consists of a  
      material called Kynar, which is made by Pennwalt  
      Corporation. On the other side of the microphone  
      diaphragm 224 is a second spacer 226. This second  
25       spacer is also made of an electrically conductive  
      material, and is connected to the negative input of  
      the transistor amplifier. The two spacers 222 and  
      226 plus the microphone diaphragm 224 rest on the  
      mounting block 228, and have two wires 232 attached  
30       to the two spacers (one wire per spacer). In the  
      embodiment of FIG. 9, there is no field effect  
      transistor and there is no plate. The remaining  
      parts of the microphone portion of the embodiment of  
      FIG. 9B are precisely the same as that shown in FIG.  
35       8B.

1           One embodiment of th hearing aid can consist of  
an optional acc lerometer assembly 248. Th  
accelerometer is used to either enhance or attenuate  
the conductive sound of the user's voice through the  
5           user's bones into the cochlea of the ear. These  
conductive sound waves travel through the temporal  
bone which completely surrounds the inner ear, and  
directly excite the mechnoneural sensory structures  
within the inner ear. Conductive sound is present in  
10           the normal ear, and its magnitude is normally  
balanced with the air-borne portion of one's own  
voice. However, such conductive sound, if existing  
at a large magnitude, can be very distracting to the  
user, in which case the accelerometer signal would be  
15           attenuated. If it is absent in yet other users it  
causes a distorted perception of the user's own  
voice, and in which case the accelerometer signal  
would be amplified. The accelerometer assembly 248  
is built on the integrated circuit 50 in the main  
20           body portion 16 of the device. The general layout of  
the accelerometer is given in FIGS. 10A-10B, which  
shows the substrate 240 and the seismic mass 242.  
The substrate can be made of silicon, as used in the  
substrate for integrated circuits. The seismic mass  
242 would consist of a high density material, such as  
25           copper. Sensing elements 244 are laid out on the  
substrate 240 and consist of materials having  
electrical characteristics which are sensitive to  
strain. The nodes 246 are enlarged pads so as to  
more easily make electrical connection to the  
30           accelerometer assembly 248. The entire accelerometer  
assembly 248 is built onto the integrated circuit 50,  
and is physically isolated from the microphone and  
the speaker. The accelerometer is, therefore, not  
s nsitiv to air-borne sound waves, but only  
35           bone-conducted sound waves.

1           It is obvious to one skilled in the art that the  
accelerometer need not consist of a seismic mass 242  
5           mounted on a strain gauged beam (substrate 240) as  
described above. Other types of accelerometers  
having similar size and construction could be used in  
the alternative. Such other types of accelerometers  
could consist of a mass 242 mounted on the movable  
portion of a charged membrane 240, or a mass 242  
10           mounted on a piezoelectric beam 240 (called a piezo  
bimorphic). The major difference between the  
different types of accelerometers is the material  
used for the beam (the substrate 240), the nature of  
the sensing elements 244 which are attached to the  
beam 240, and the signal conditioning electronics  
15           required among the various types.

          The electrical schematic in block diagram form of  
a stand alone hearing aid 10 is given in FIG. 11.  
The control means 216 consists of three control  
20           devices which are a part of the microphone portion  
14. The three controls included in control means 216  
are the on/off switch, the volume control  
potentiometer, and the treble-bass filter  
potentiometer. FIG. 11 uses an electret microphone  
25           184, however, it should be recognized that any type  
of miniature microphone could be used in this  
application. The sound energy is transformed by the  
microphone 184 into electrical signals which are  
passed into the input microphone transducer amplifier  
30           260. After initial amplification, the electrical  
signal is then passed into a set of amplifiers which  
act as a treble-bass filter and an intermediate gain  
amplifier 262. This treble-bass filter and  
intermediate gain amplifier 262 communicates with the  
35           control means 216 so as to properly control the  
hearing aid as per the user's wishes. Any automatic

1 gain control functions, whether linear or non-linear  
in profile, are performed by the intermediate gain  
amplifier 262. The output of the treble-bass filter  
and the intermediate gain amplifier 262 is then  
5 communicated to an output power amplifier 264. The  
power amplifier 264 has as its output stage a class B  
push-pull dual transistor output. By use of a dual  
DC voltage power supply (supplied by two DC batteries  
54), all of the amplifiers in the hearing aid can run  
10 in a bipolar configuration, including the power  
amplifier. By effective use of this bipolar DC power  
supply, the power amplifier 264 can use push-pull  
transistors on its final output stage, and eliminate  
any typically large valued bypass capacitors that  
15 would otherwise be required. The output signal of  
the power amplifier 264 is then communicated to the  
speaker, which consists of the linear motor 100.

20 The above amplifiers, including the output stage  
power amplifier, are all located on the integrated  
circuit 52. Some of the low-gain amplifier stages  
use an operational amplifier such as the OP-90,  
manufactured by Precision Monolithics. The OP-90 is  
available on a semi-custom chip, or can be, of  
25 course, placed on a custom analog chip.

30 Another embodiment of the invention uses a  
hand-held transmitter to control the user's input  
commands to the hearing aid. In FIG. 12 the  
hand-held transmitter is designated 70, and consists  
of an operator interface 266, a controller 268, and a  
transmitter 72. The operator interface 266 could be  
a key pad, a miniature keyboard, or even an existing  
design TV remote controller, so that the user can hit  
35 certain control keys to adjust the volume control of  
the hearing aid, or to adjust the treble-base

1       filt r. The controller 268 is typically a small  
micro processor unit which communicates through the  
operator interface 266 and then passes commands in a  
digital code signal format to the transmitter stage  
5       72. The transmitter stage 72 can be of various types.

      The various types of transmitters which can be  
used are as follows: a radio frequency transmitter,  
which would require some type of antenna built into  
10       the hand-held unit, or an infrared transmitter, which  
would require an infrared light emitting diode, or  
possibly an ultrasonic transmitter means, which would  
require some type of high frequency speaker output.  
Whichever means of communication is utilized, it is  
15       designated as 76 on FIG. 12.

      The communication means 76 requires a  
corresponding receiver 74, which is in the hearing  
aid device 10. The receiver 74 converts the  
20       communication signal to electrical signals, which are  
then passed to the control means 270. The control  
means 270 is similar in function to the previously  
discussed control means 216 of FIG. 11, in that it  
controls the treble-base filter and intermediate gain  
25       amplifier 262 of the hearing aid 10. Also included  
as part of the control signals is a local on/off  
control function 190. The local on/off control 190  
is needed to allow the user to completely turn off  
electrical power in the hearing aid device 10. As in  
30       the previous embodiment, the microphone 184 receives  
sound energy and converts it to electrical energy,  
which is passed to the microphone transducer  
amplifier 260. The output of the transducer  
amplifier 260 is communicated to the filter and gain  
35       amplifier 262, which is now controlled by control  
means 270, which utilizes the received information

1 from the receiver 74. The electrical signal is then  
sent to the power amplifier 264, and finally to the  
speaker element 100. To be effective, the receiver  
74 requires an antenna 78.

5 Another embodiment of the hearing aid which uses  
a hand-held transmitter 70 is shown in FIG. 13. This  
embodiment also includes an accelerometer 248, to  
either add or subtract conductive sound information.  
10 As before, the hand-held transmitter 70 consists of  
an operator interface 266, a controller 268, and a  
transmitter 72. The information is communicated by  
means 76 to the receiver 74 of the hearing aid device  
10. Once the information is received by the receiver  
15 74, it is communicated to the control means 270 which  
also communicates with the local on/off control 190.  
The sound energy input is received at the microphone  
184, and is converted into an electrical signal which  
is first amplified by the microphone transducer  
20 amplifier 260, then modified and amplified by the  
filter and intermediate gain amplifier 262, and is  
finally sent to a new amplifier element 278 which is  
a summation amplifier. The mechanical vibrations are  
sensed by the accelerometer 248, which converts the  
25 vibrations into an electrical signal. This  
electrical signal is received by the accelerometer  
transducer amplifier 272, which then outputs the  
signal to a gain amplifier stage 276. The control  
means 270 also communicates information to a volume  
30 control 274. Volume control 274 controls the gain of  
amplifier 276, however, the control means 270 also  
passes a signal to gain amplifier 276 which makes it  
possible for it to have reverse polarity. Polarity  
would be reversed in situations where the conductive  
sound picked up by the accelerometer 248 is to be  
35 attenuated. The output of the reversible polarity

1 gain amplifier 276 is then communicated to the  
summation amplifier 278. At this point the  
accelerometer signal is either subtracted or added to  
the microphone signal. The output of summation  
5 amplifier 278 is then sent to the power amplifier 264  
and then to the speaker element 100.

Another embodiment of the invention employs  
signal processing techniques to greatly enhance the  
10 performance of the invention for users with special  
hearing problems. In FIG. 14 there is a portable  
signal processing device 80, which can be either  
carried by hand or worn on the clothing (such as  
strapped to a belt) of the user. To adjust the  
15 volume and treble-base controls, the user inputs  
information through the operator interface 280, which  
can be a key pad, which information is then  
communicated to a controller 282. That information  
is then communicated to the radio frequency  
20 transmitter 82. This information would be in the  
form of digital signals which are then transmitted  
via communication means 90 to the receiver 86 of the  
hearing aid 10. At the hearing aid 10, sound energy  
is picked up by the microphone 184 and converted into  
25 electrical signals which are passed to the microphone  
transducer amplifier 260. The output of the  
transducer amplifier 260 is sent to a second radio  
frequency transmitter 88. This information is then  
communicated via communication means 90 to a second  
radio frequency receiver 84 which is located on the  
30 signal processing device 80. This information is  
communicated from the output of the receiver 84 to a  
signal processing controller 284. The signal  
processor 284 must work as nearly in real time as  
possible, to accept the audio information from the  
35 receiver 84 and then output the processed audio

1 information in the form of an electrical signal to  
the radio fr qu ncy transmitter 82.

5 As is apparent to those skilled in the art,  
communication means 90 must be a full duplex means of  
communicating radio frequency information both to and  
from each device, the hearing aid 10 and the signal  
processing device 80. Once the signal is transmitted  
10 from the radio frequency transmitter 82 it is  
received by a radio frequency receiver 86 on the  
hearing aid device 10. The control portion of the  
received signal is a digital series of commands 286.  
These commands are communicated to the control means  
270 which also communicates to a local on/off control  
15 190. The audio portion of the received information  
which is received by radio frequency receiver 86 is  
an electrical signal 288. This audio signal is  
communicated to the filter and intermediate gain  
amplifier 262 which also communicates with the  
20 control means 270. The output of the filter and gain  
amplifier 262 is sent to the power amplifier 264  
which outputs the signal to the speaker element 100.

25 An alternative embodiment of the invention which  
employs signal processing techniques is one that  
includes a self-contained enhanced signal processing  
controller within the hearing aid 10 itself. This  
embodiment is described in schematic form on FIG. 12,  
wherein the filter and intermediate gain amplifier  
30 262 also contains the necessary signal processing  
controller to achieve the desired enhancement.

35 Another embodiment of the invention can consist  
of a radio receiver 94 which can receive either  
commercial broadcast or local broadcast. As  
illustrated in FIG. 15, this embodiment uses a



## 3.9

1 hand-held transmitter 70, which consists of the  
elements of the operator interface 266, the  
controller 268, and the output transmitter 72.  
Information from the transmitter 72 is communicated  
5 by means 76 to a receiver 74 on the hearing aid  
device 10. In this embodiment, the operator  
interface 266 can also control the frequency to be  
received at the hearing aid device 10 receiver 94.  
That information is transmitted by transmitter 72 via  
10 communication means 76 to the receiver 74. This  
information is subsequently communicated to the  
control means 270 and then to the tuner 290. The  
control means 270 also communicates with a local  
on/off control 190. Sound wave energy is received by  
15 the microphone 184 and is converted to an electrical  
signal which is communicated to the microphone  
transducer amplifier 260. The output of this  
transducer amplifier 260 is communicated to the  
filter and intermediate gain amplifier 262, whose  
20 output is then communicated to sound amplifier 278.

The hearing aid device 10 also receives radio  
frequency information via its receiver 94. Radio  
frequency receiver 94 can receive commercial  
25 broadcasts, for example, in the AM and FM bands of  
commercial communications, from a commercial  
transmitter 92 via communication means 96. In the  
case of a commercial transmitter, control means 270  
transfers information to the tuner 290 which then  
30 controls which radio station will be received by the  
radio frequency receiver 94. The output of the  
receiver 94 is sent to a gain amplifier 276 whose  
gain is controlled by volume control 274 which  
communicates to the control means 270. The output of  
35 the gain amplifier 276 is then sent to the summation

1 amplifier 278 whos output consists of signals from  
both th microphon and th radio r ceiv r. The  
output of the summation amplifier 278 is communicated  
to the power amplifier 264 which then sends the  
5 signal to the speaker element 100. If the user so  
desires, radio frequency receiver 94 can receive a  
local broadcast which might consist of a miniature  
radio transmitter worn by the user which is  
broadcasting music, for example, from a compact disc  
10 player or from a cassette tape player. While such  
local radio transmitters may not be in use today,  
they are certainly foreseeable in the future,  
particularly after the present invention becomes  
common in the marketplace.

15 In summary, numerous benefits have been described  
which result from employing the concepts of the  
invention. The overall size, shape, and orientation  
of the hearing apparatus provide a package which fits  
20 deeply into the external auditory canal such that its  
microphone is placed at the acoustic focus of the  
concha, and its speaker is placed between the sigmoid  
portion of the canal and the tympanic membrane. Such  
placement of the speaker, along with sealing the air  
inside the external auditory canal around the hearing  
25 apparatus, achieves a closely-coupled system. The  
hearing apparatus can be used as a stand-alone device  
which includes all necessary signal-conditioning and  
amplification electronic circuitry, as well as  
enhanced signal processing, if so desired. The  
30 hearing apparatus also can be used in conjunction  
with a separate hand-held transmitter for controlling  
various operational functions, a separate enhanced  
signal processing device, if desired, or used in  
communication with a radio transmitter.  
35

1           The foregoing description of a preferred  
embodiment of the invention has been presented for  
purposes of illustration and description. It is not  
intended to be exhaustive or to limit the invention  
5       to the precise form disclosed. Obvious modifications  
or variations are possible in light of the above  
teachings. The embodiment was chosen and described  
in order to best illustrate the principles of the  
invention and its practical application to thereby  
10       enable one of ordinary skill in the art to best  
utilize the invention in various embodiments and with  
various modifications as are suited to the particular  
use contemplated. It is intended that the scope of  
the invention be defined by the claims appended  
15       hereto.

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I CLAIM:

1. An apparatus adapted for use in a human external auditory canal with a tympanic membrane at its innermost terminus, the external auditory canal having a cartilaginous part with an innermost section of the cartilaginous part defining an S-shaped sigmoid portion, and a bony part that adjoins the sigmoid portion and extends to the tympanic membrane, the apparatus comprising:
- 5
- 10 (a) means for receiving energy in the form of sound waves;
- (b) means for converting the received energy into an electrical signal;
- 15 (c) means for modifying said electrical signal; and
- (d) means for converting said modified electrical signal into energy in the form of air-borne sound waves, said converting means being located in proximity to the tympanic membrane and configured and dimensioned to fit within the external auditory canal in a location between the sigmoid portion and the tympanic membrane.
- 20
- 25
2. An apparatus as recited in claim 1, wherein said means for converting sound wave energy into said electrical signal consists of a microphone.
3. An apparatus as recited in claim 2, wherein said micr phone is an electr t d vic .

4. An apparatus as recited in claim 2, wherein said microphone is a piezo electric device.

5. An apparatus as recited in claim 1, wherein said modifying means includes a variable-gain amplifier.

6. An apparatus as recited in claim 5, wherein said variable-gain amplifier include an automatic gain control circuit.

7. An apparatus as recited in claim 6, wherein said automatic gain control circuit has a non-linear profile.

8. An apparatus as recited in claim 1, wherein said modifying means includes a variable-gain amplifier stage and a treble-bass filter stage.

5 9. An apparatus as recited in claim 1, wherein said means for converting said modified electrical signal into said sound wave energy includes a speaker having an elongated shape, said speaker having a vibration surface with a length greater than its width so as to be insertable into the bony part of the external auditory canal past the sigmoid portion of the cartilaginous part while having a surface area substantially as large as the surface area of the tympanic membrane.

10

10. An apparatus as recited in claim 9, wherein said speaker includes a rigid housing.

11. An apparatus as recited in claim 9, wherein said speaker further includes a rigid housing having

5 a transverse cross-sectional geometry of a flattened tube with the housing having a longitudinal axis, the longitudinal axis of the housing being adapted for placement in substantially parallel relationship with the longitudinal axis of the external auditory canal, the transverse cross-sectional dimension of the housing being smaller than the lumen of the external  
10 auditory canal.

12. An apparatus as recited in claim 9, wherein said speaker of elongated shape is mountable on a flexible articulation member.

13. An apparatus as recited in claim 12, wherein said flexible articulation member is rotatably flexible.

14. An apparatus as recited in claim 13, wherein said flexible articulation member is attached to an articulated joint.

5 15. An apparatus as recited in claim 9, wherein said speaker of elongated shape includes an electric motor having at least one reciprocally movable armature, said armature including of an oval-shaped coil and a speaker face membrane.

16. An apparatus as recited in claim 15, wherein said electric motor is linear.

17. An apparatus as recited in claim 15, wherein said electric motor includes at least one resonance cavity.

18. An apparatus as recited in claim 2, wherein

th pparatus is furth r adapt d for use in a human external auditory canal in which th outer portion of the cartilaginous part defines a bowl-shaped concha having an acoustic focus, and the microphone is located substantially at the acoustic focus of the concha when the modified signal converting means is located between the sigmoid portion and the tympanic membrane.

19. An apparatus as recited in claim 1, wherein said means for providing electric power consists of at least one battery.

20. An apparatus as recited in claim 1, further including means for preventing contamination of the modifying means and the modified signal converting means.

21. An apparatus as recited in claim 20, wherein said means for preventing contamination includes a disposable boot.

22. An apparatus as recited in claim 21, wherein said disposable boot includes a resiliently deformable material portion which seals and isolates the received energy converting means from the modified signal converting means in the user's ear.

23. A hearing aid, adapted for use in a human external auditory canal with a tympanic membrane at its innermost terminus, the external auditory canal having a cartilaginous part with an innermost section of the cartilaginous part defining an S-shaped sigmoid portion, a bony part that adjoins the sigmoid portion and ext nds to the tympanic membrane, the

10 outer section of the cartilaginous part defining a bowl-shaped concha having an acoustic focus, the hearing aid comprising:

15 (a) a microphone, located at the acoustic focus of the concha, said microphone being operative to convert sound waves into a microphone electrical signal;

20 (b) an accelerometer for producing an accelerometer electrical signal in response to and representative of the bone-conducted portion of the user's speech;

25 (c) electronic circuit means for selectively modifying said microphone and accelerometer electrical signals and creating a joint electrical signal;

30 (d) a speaker shaped and dimensioned to be located in the external auditory canal between the sigmoid portion of the cartilaginous part of the external auditory canal and the tympanic membrane, said speaker being operative to convert said joint electrical signal into sound waves; and

35 (e) a self-contained D.C. power supply, providing electrical power to said electronic circuit means.

24. A hearing aid as recited in claim 23, wherein said accelerometer is constructed of a charged membrane having a first fixed portion and a second movable portion, and a mass mounted upon the second



5       movable portion.

5       25. A hearing aid as recited in claim 23, wherein said accelerometer is constructed of a piezoelectric beam having a first fixed portion and a movable second portion, and a mass mounted upon the second movable portion.

5       26. A hearing aid as recited in claim 23, wherein said accelerometer is constructed of a strain gauged beam having a first fixed portion and a movable second portion, and a mass mounted upon the second movable portion.

27. A hearing aid as recited in claim 23, wherein said accelerometer is formed as an integrated unit on a substrate.

28. A hearing aid as recited in claim 23, further comprising an ON-OFF switch.

29. A hearing aid as recited in claim 28, wherein said ON-OFF switch functions in a rotatable manner.

30. A hearing aid as recited in claim 23, wherein said electronic circuit means includes a signal conditioning amplifier having an FET input stage.

31. A hearing aid as recited in claim 23, wherein said electronic circuit means includes a signal conditioning amplifier having a bipolar input stage.

32. A hearing aid as recited in claim 23, wherein said electronic circuit means includes an input stag , a gainshaping filter network stage, and an output driving stage.

33. A hearing aid as recited in claim 23, further comprising means for receiving and demodulating control signals, said electronic circuit means being responsive to said demodulated control signals.

34. A hearing aid as recited in claim 33, further comprising:

5 (i) a portable transmitter which communicates with said receiving and demodulating means, said portable transmitter including an operator interface for entering gain and filtering parameters of the microphone and accelerometer electrical signals;

10 (ii) a controller for communicating with said operator interface and creating a command electrical signal;

15 (iii) an output stage for modulating said command electrical signal and creating a control signal for said receiving and demodulating means, said control signal being transmitted via carrier wave to said receiving and demodulating means; and  
20

(iv) a self-contained D.C. power supply, providing electrical power to said operator interface and controller, and to said output transmitter stage.  
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35. A hearing aid, comprising:

(a) a microphone for receiving and converting sound waves into a representative electrical signal;  
5

(b) a signal processing circuit;

10 (c) means for communicating said representative electrical signal to said signal processing circuit, said signal processing circuit being operative to enhance the representative electrical signal and create a processed signal;

15 (d) a radio transmitter, said transmitter modulating the processed signal and creating a modulated processed signal, the transmitter outputting the modulated processed signal via carrier wave;

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(e) a radio receiver adapted for positioning within the human ear for receiving and demodulating the modulated processed signal;

25 (f) signal conditioning means for conditioning said demodulated processed signal; and

30 (g) a speaker responsive to said conditioned processed signal, said speaker converting the conditioned processed signal into sound waves.

5 36. A hearing aid as recited in claim 35, wherein said microphone is adapted for positioning in the human ear, and said communicating means includes a second radio transmitter adapted for positioning in the human ear and a second radio receiver adapted for positioning external to the human ear, the signal processing circuit being responsive to said second radio receiver.

## 37. A hearing aid comprising:

- 5 a) a microphone for receiving and converting sound waves into a representative microphone electrical signal;
- 10 b) an accelerometer adapted for placement proximal to a user's ear, the accelerometer being responsive to a user's bone-conducted speech for creating a representative accelerometer electrical signal;
- 15 c) signal processing means for creating a processed signal which is dependent upon both the microphone and accelerometer electrical signals, at least a portion of the signal processing means being adapted for placement distal to the user's ear;
- 20 d) a radio transmitter, said transmitter modulating the processed signal and creating a modulated processed signal, the transmitter outputting the modulated processed signal via carrier wave;
- 25 e) a radio receiver adapted for positioning proximal to the user's ear for receiving and demodulating the modulated processed signal;
- 30 f) signal conditioning means for conditioning said demodulated processed signal; and
- 35 g) a speaker responsive to said conditioned processed signal, said speaker converting the conditioned processed signal into sound waves.

38. A hearing aid as recited in claim 37, wherein  
the signal processing means includes means for  
modifying the microphone and accelerometer electrical  
signals and creating a joint electrical signal, a  
5 second radio transmitter for modulating and  
transmitting the joint electrical signal; a second  
radio receiver for receiving and demodulating the  
joint electrical signal; and means for enhancing the  
demodulated joint electrical signal.

39. A hearing aid as recited in claim 37, wherein  
the signal processing means includes a second radio  
transmitter for modulating and transmitting the  
accelerometer electrical signal, a second radio  
5 receiver for receiving and demodulating the  
accelerometer electrical signal, means for combining  
the accelerometer electrical signal with the  
microphone electrical signal to form a joint signal;  
and means for enhancing the joint signal.

40. A hearing aid comprising:

(a) a microphone, said microphone being  
operative to convert sound waves into a  
5 microphone electrical signal;

(b) an accelerometer for producing an  
accelerometer electrical signal in response  
to and representative of the bone-conducted  
10 portion of the user's speech;

(c) electronic circuit means for selectively  
modifying said microphone and accelerometer  
electrical signals and creating a joint  
15 electrical signal;

(d) a speaker, said speaker being operative to convert said joint electrical signal into sound waves; and

20 (e) a self-contained D.C. power supply, providing electrical power to said electronic circuit means.

5 41. A hearing aid as recited in claim 40, wherein said accelerometer is constructed of a charged membrane having a first fixed portion and a second movable portion, and a mass mounted upon the second movable portion.

5 42. A hearing aid as recited in claim 40, wherein said accelerometer is constructed of a piezoelectric beam having a first fixed portion and a movable second portion, and a mass mounted upon the second movable portion.

5 43. A hearing aid as recited in claim 40, wherein said accelerometer is constructed of a strain gauged beam having a first fixed portion and a movable second portion, and a mass mounted upon the second movable portion.

44. A hearing aid as recited in claim 40, wherein said accelerometer is formed as an integrated unit on a substrate.

45. A hearing aid as recited in claim 40, further comprising means for receiving and demodulating control signals, said electronic circuit means being responsive to said demodulated control signals.

46. An apparatus adapted for use in a human external auditory canal with a tympanic membrane at its innermost terminus, the external auditory canal having a cartilaginous part with an innermost section of the cartilaginous part defining an S-shaped sigmoid portion, and a bony part that adjoins the sigmoid portion and extends to the tympanic membrane, the apparatus comprising:

10 (a) means for receiving radio-frequency energy;

(b) means for converting the received energy into an electrical signal;

15 (c) means for modifying said electrical signal; and

20 (d) means for converting said modified electrical signal into energy in the form of air-borne sound waves, said converting means being located in proximity to the tympanic membrane and configured and dimensioned to fit within the external auditory canal in a location between the sigmoid portion and the  
25 tympanic membrane.

## AMENDED CLAIMS

[received by the International Bureau  
on 25 May 1992 (25.05.92);  
original claims 1,2,12,15,17 and 20 amended;  
other claims unchanged (5 pages)]

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1. An apparatus adapted for use in a human external auditory canal with substantially non-compliant side walls and a compliant tympanic membrane at its innermost terminus, the external auditory canal having a cartilaginous part with an innermost section of the cartilaginous part forming an S-shaped sigmoid portion, and a bony portion that extends to the tympanic membrane, the apparatus comprising:

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(a) means for receiving energy in the form of sound waves and converting the received energy into an electrical signal;

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(b) means for modifying said electrical signal;

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(c) means for converting said modified electrical signal into energy in the form of air-borne sound waves, said converting means including an active compliance surface having a functional area comparable to that of the tympanic membrane for creating said airborne sound waves, said compliant surface being located in proximity to the tympanic membrane and being configured and dimensioned to fit at least partially within the external auditory canal in a location between the sigmoid portion and the tympanic membrane; and

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1 (d) means for acoustically isolating the  
inner and external portions of the  
auditory canal along with the  
5 substantially non-compliant side walls  
and such that the compliance surface of  
the converting means and the compliant  
tympanic membrane along with the  
non-compliant walls of the auditory  
10 canal form a closed cavity.

2. An apparatus as recited in claim 1, wherein  
said means for receiving energy and converting the  
received energy into an electrical signal includes a  
15 microphone.

3. An apparatus as recited in claim 2, wherein  
said microphone is an electret device.

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1 a transverse cross-sectional geometry of a flattened  
tube with the housing having a longitudinal axis, the  
longitudinal axis of the housing being adapted for  
placement in substantially parallel relationship with  
5 the longitudinal axis of the external auditory canal,  
the transverse cross-sectional dimension of the  
housing being smaller than the lumen of the external  
auditory canal.

10 12. An apparatus as recited in claim 1, further  
including a speaker having an electric motor and at  
least one reciprocally moveable armature, said  
armature including a coil and a speaker face  
membrane, said speaker being rotatably mounted on a  
15 articulation and member.

20 13. An apparatus as recited in claim 12, wherein  
said flexible articulation member is rotatably  
flexible.

25 14. An apparatus as recited in claim 13, wherein  
said flexible articulation member is attached to an  
articulated joint.

30 15. An apparatus as recited in claim 1, further  
including a speaker having an electric motor and at  
least one reciprocally movable armature, said  
armature including a coil and a speaker face membrane.

35 16. An apparatus as recited in claim 15, wherein  
said electric motor is linear.

17. An apparatus as recited in claim 15, wherein  
said electric motor includes at least one resonance  
cavity on the opposite side of the compliance surface

1 of the converting means relative to the tympanic  
membrane.

5 18. An apparatus as recited in claim 2, wherein

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1 the apparatus is further adapted for use in a human  
external auditory canal in which the outer portion of  
the cartilaginous part defines a bowl-shaped concha  
having an acoustic focus, and the microphone is  
5 located substantially at the acoustic focus of the  
concha when the modified signal converting means is  
located between the sigmoid portion and the tympanic  
membrane.

10 19. An apparatus as recited in claim 1, wherein  
said means for providing electric power consists of  
at least one battery.

15 20. An apparatus as recited in claim 1, further  
including means for preventing biological  
contamination of the modifying means and the modified  
signal converting means.

20 21. An apparatus as recited in claim 20, wherein  
said means for preventing contamination includes a  
disposable boot.

25 22. An apparatus as recited in claim 21, wherein  
said disposable boot includes a resiliently  
deformable material portion which seals and isolates  
the received energy converting means from the  
modified signal converting means in the user's ear.

30 23. A hearing aid, adapted for use in a human  
external auditory canal with a tympanic membrane at  
its innermost terminus, the external auditory canal  
having a cartilaginous part with an innermost section  
of the cartilaginous part defining an S-shaped  
sigmoid portion, a bony part that adjoins the sigmoid  
35 portion and extends to the tympanic membrane, the

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## STATEMENT UNDER ARTICLE 19

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Claims 1, 2, 12, 15 and 17 have been amended to add limitations not present in these claims as originally filed, and to more clearly distinguish over the prior art of record. No claims have been cancelled.

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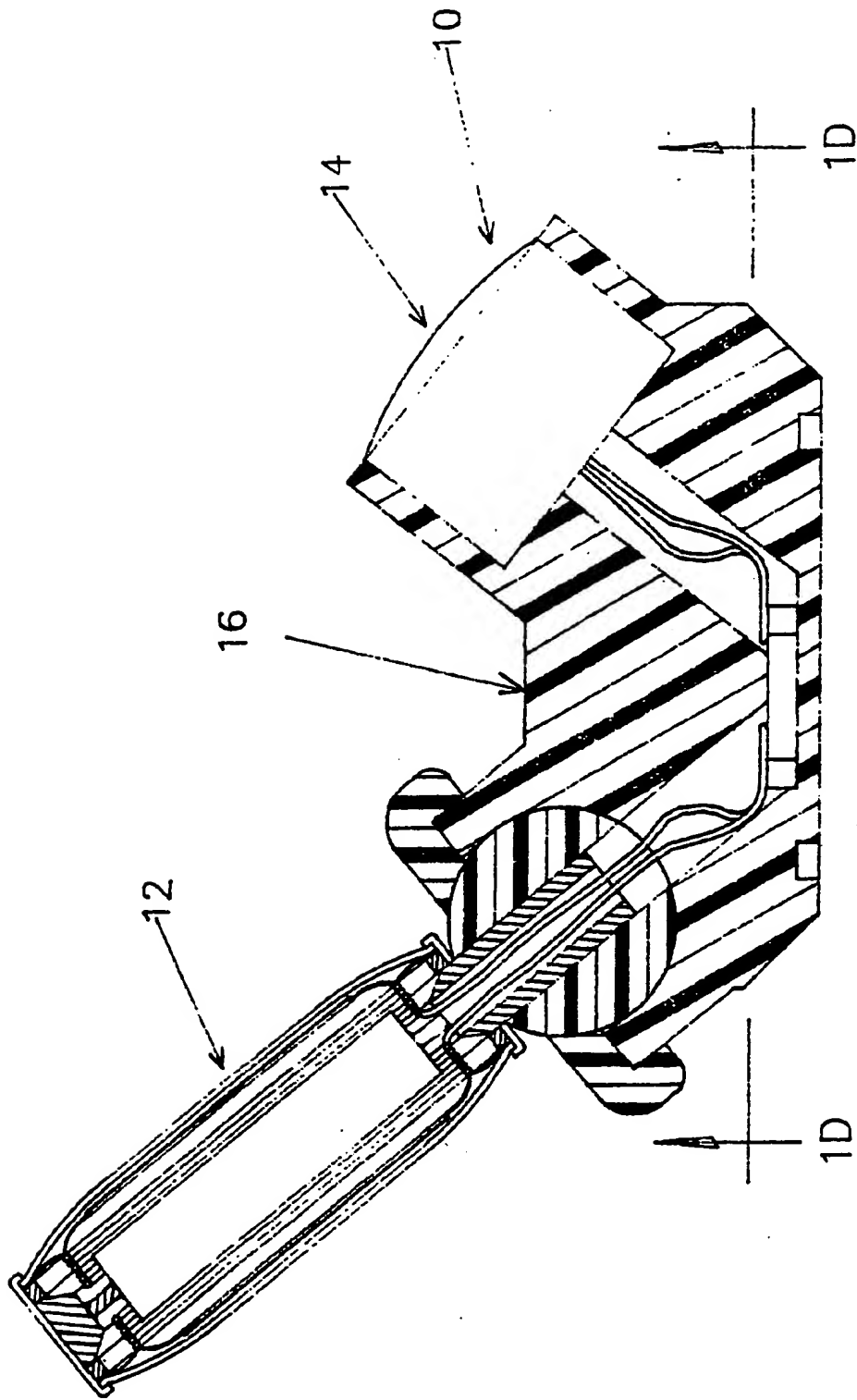


FIG. 1A

2 / 2 1

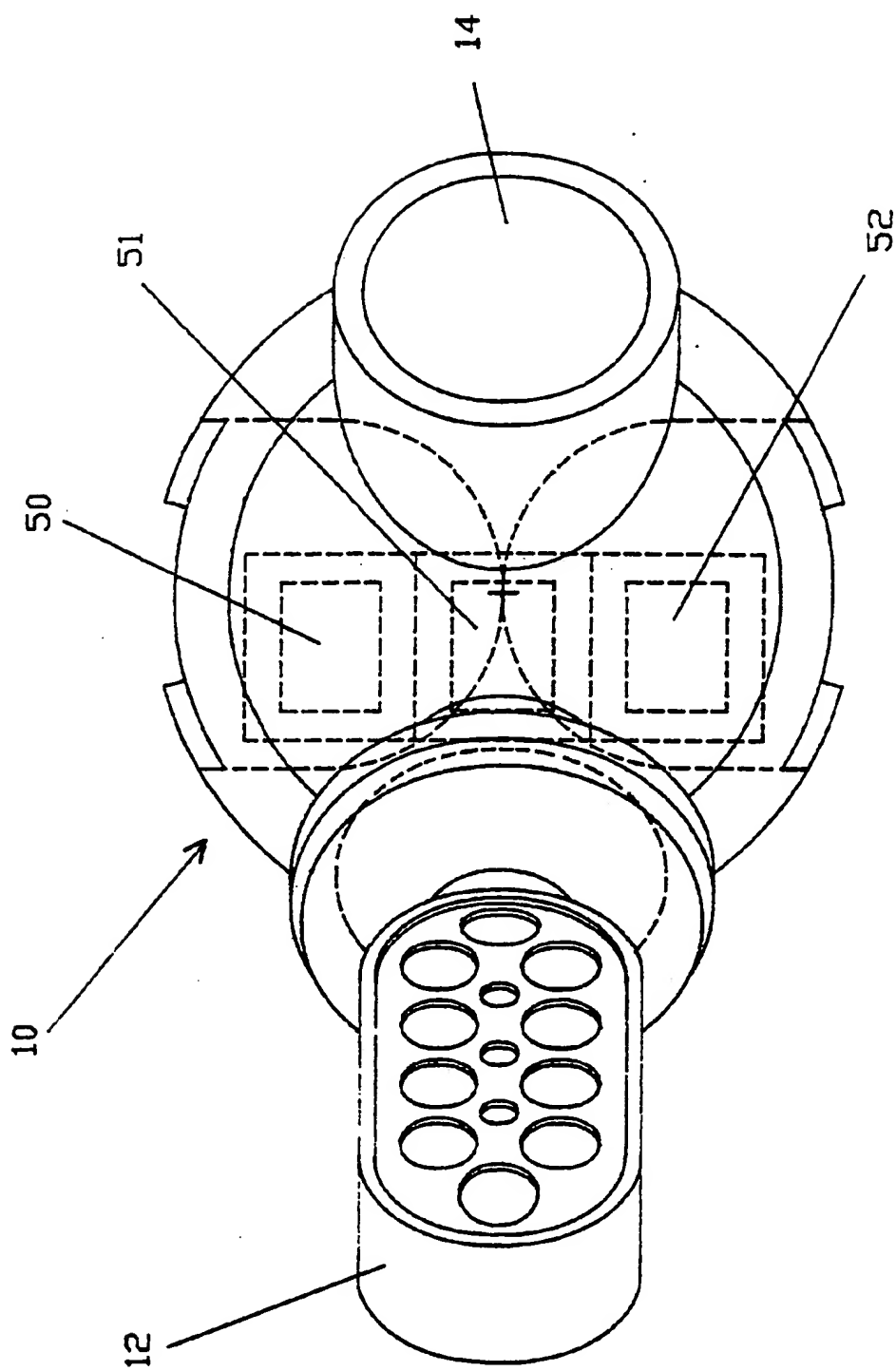


FIG. 1B

3 / 2 1

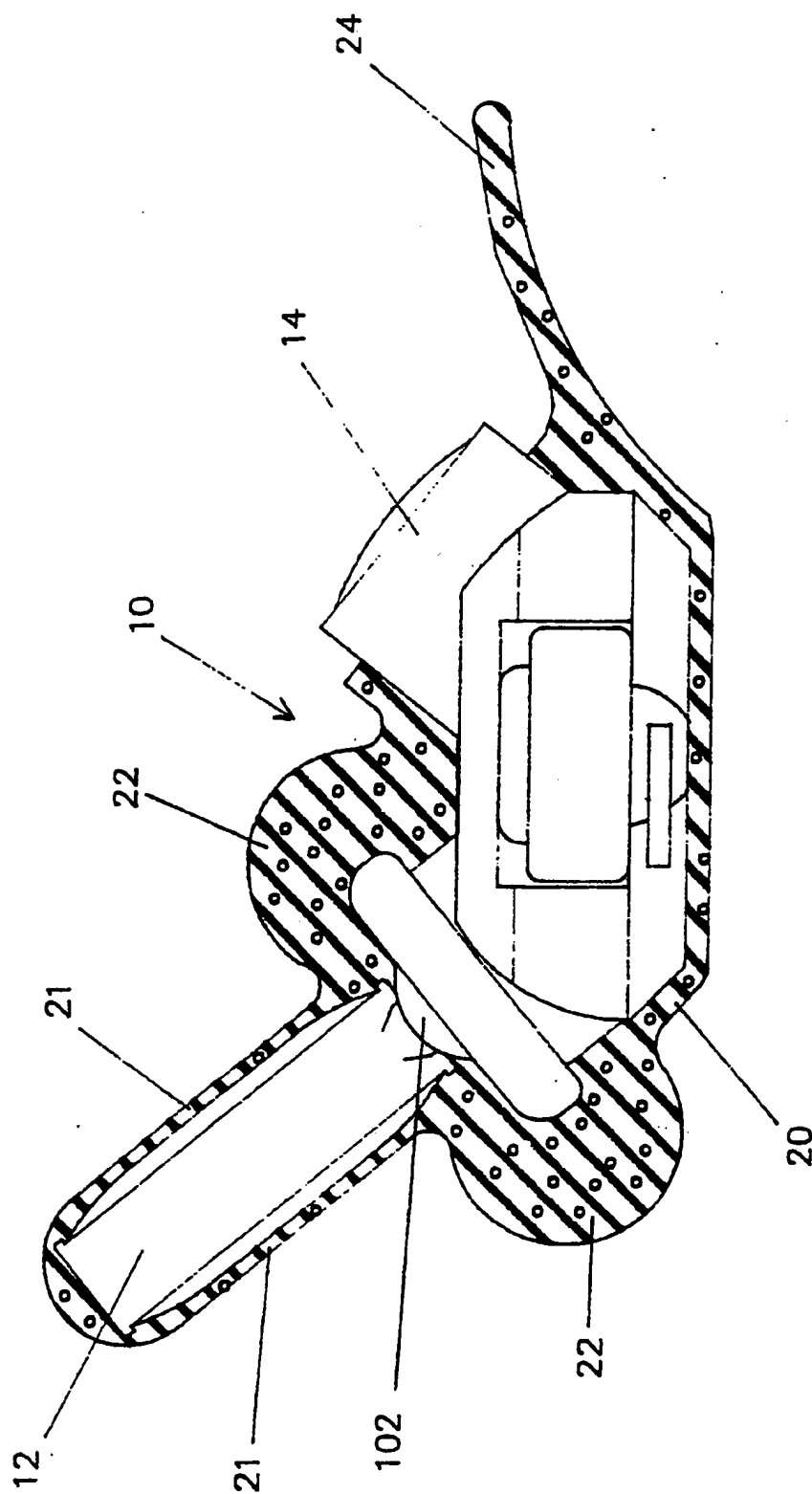


FIG. 1C



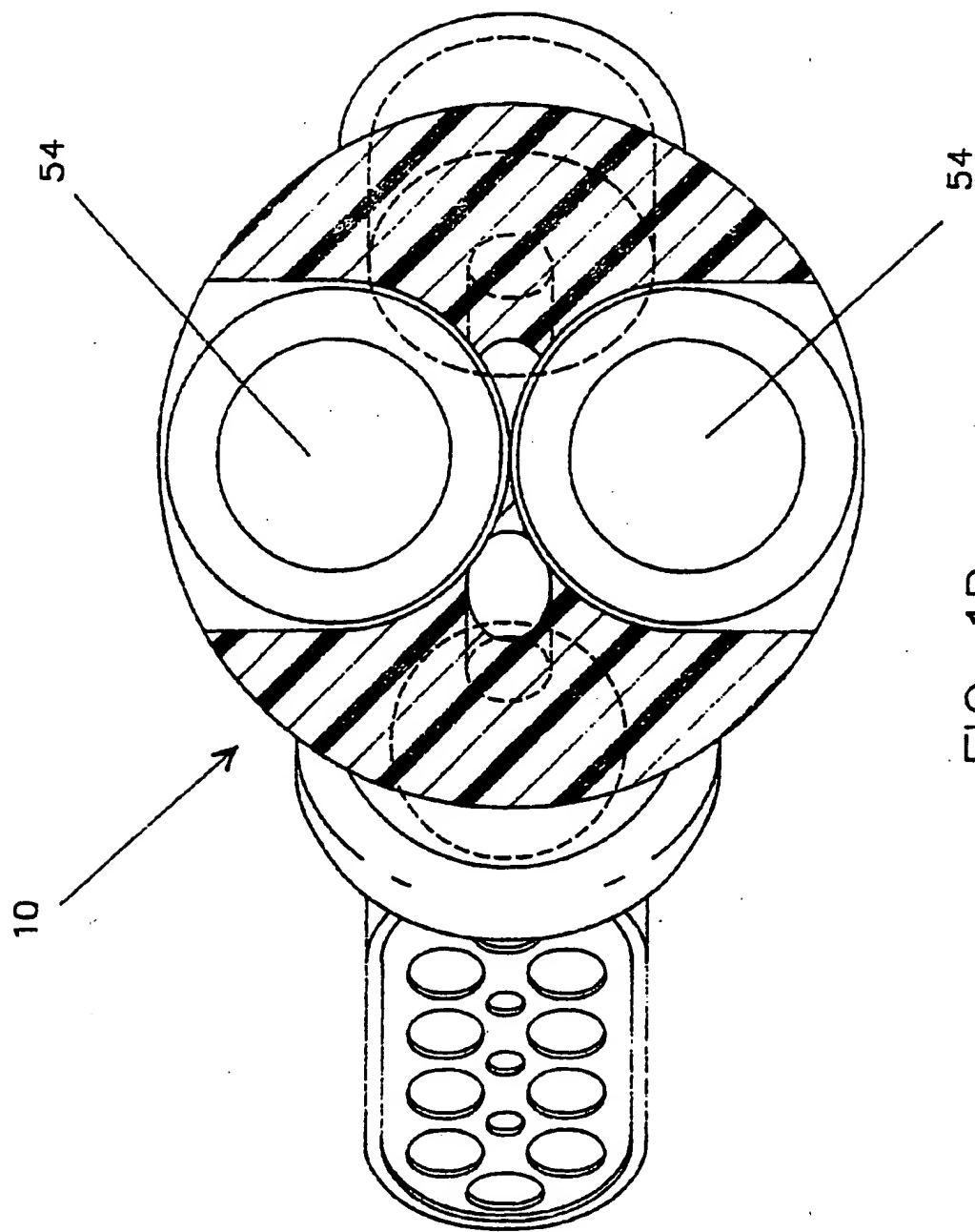


FIG. 1D

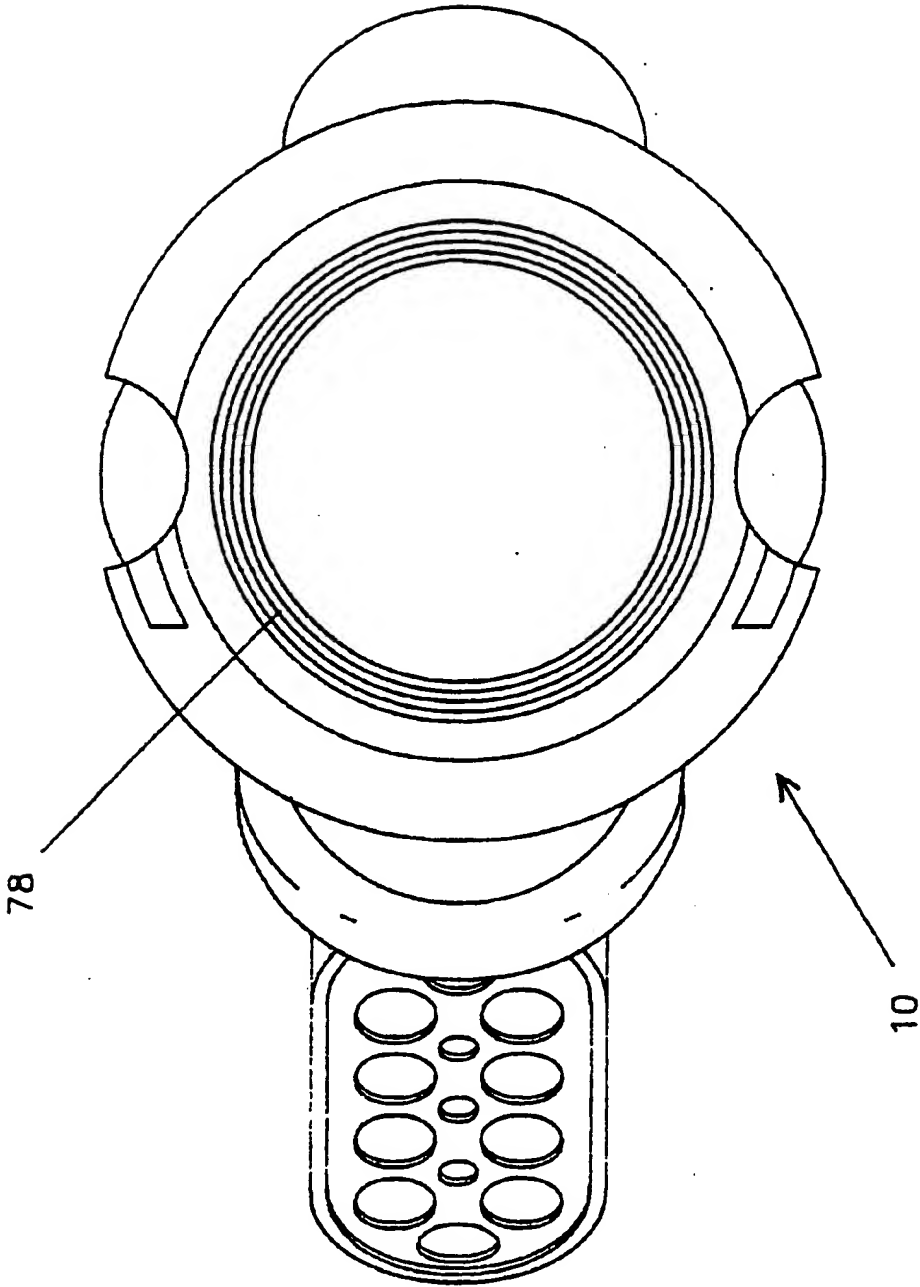


FIG. 1E

6 / 2 1

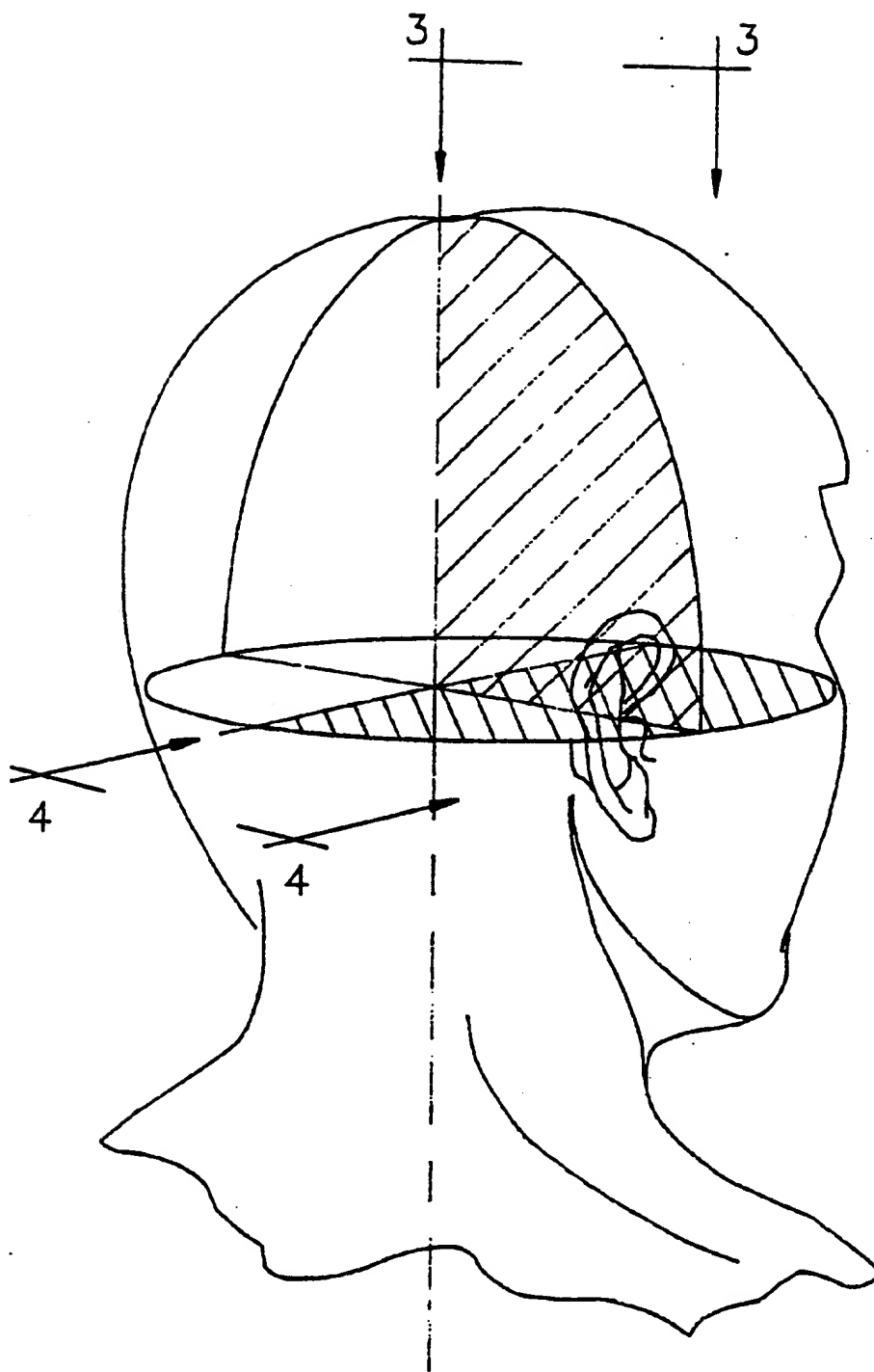
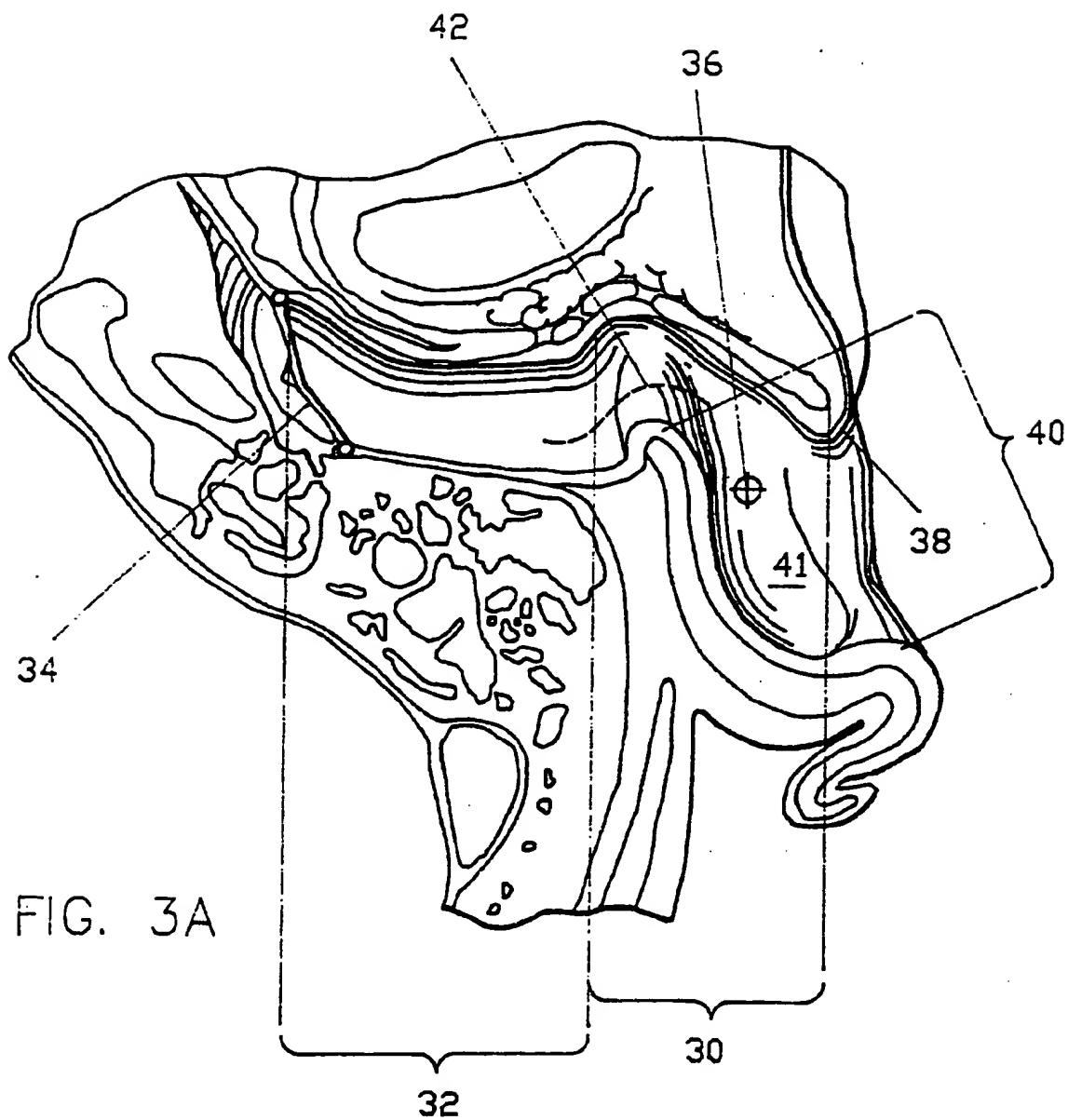


FIG. 2

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8 / 2 1

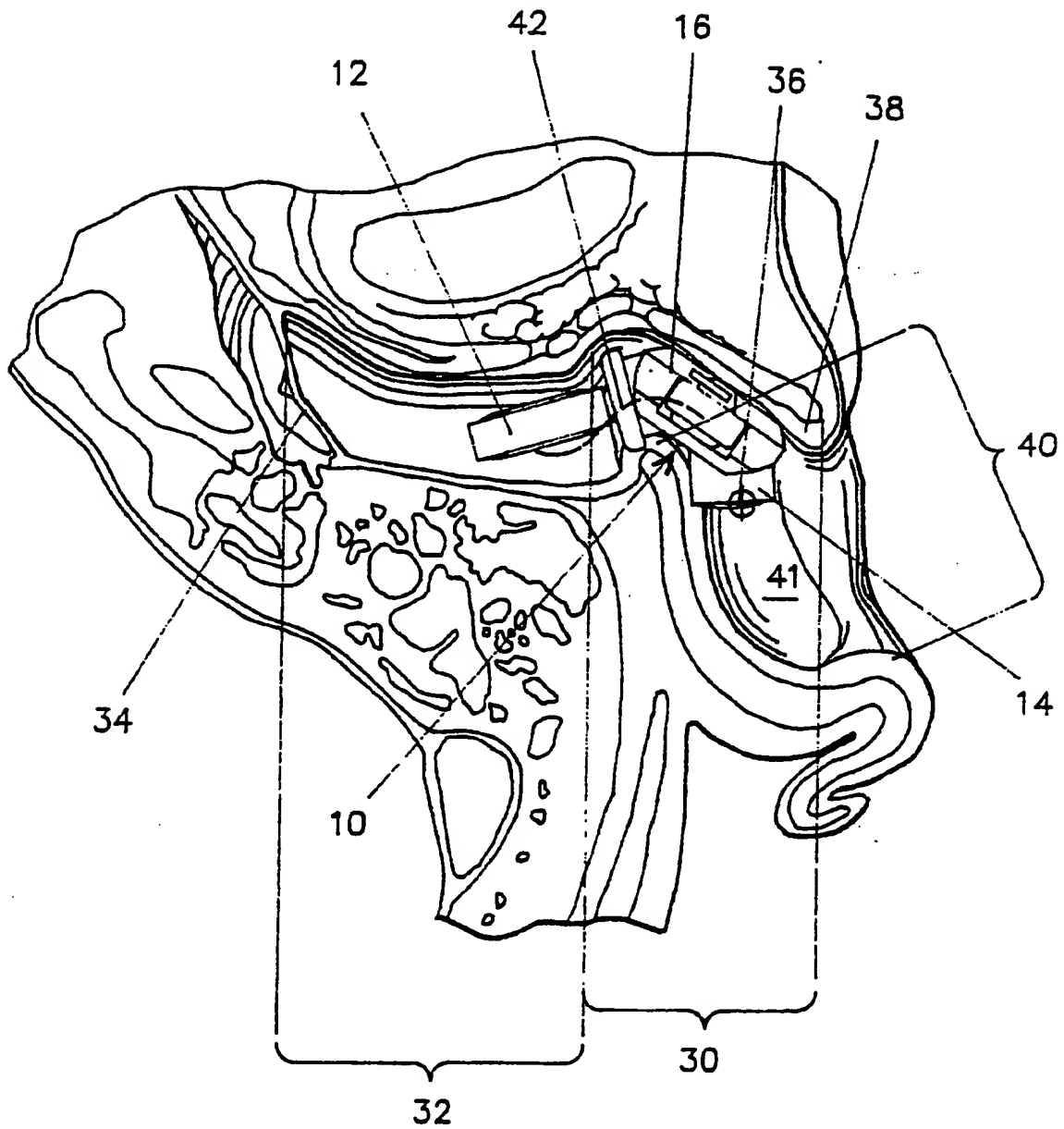
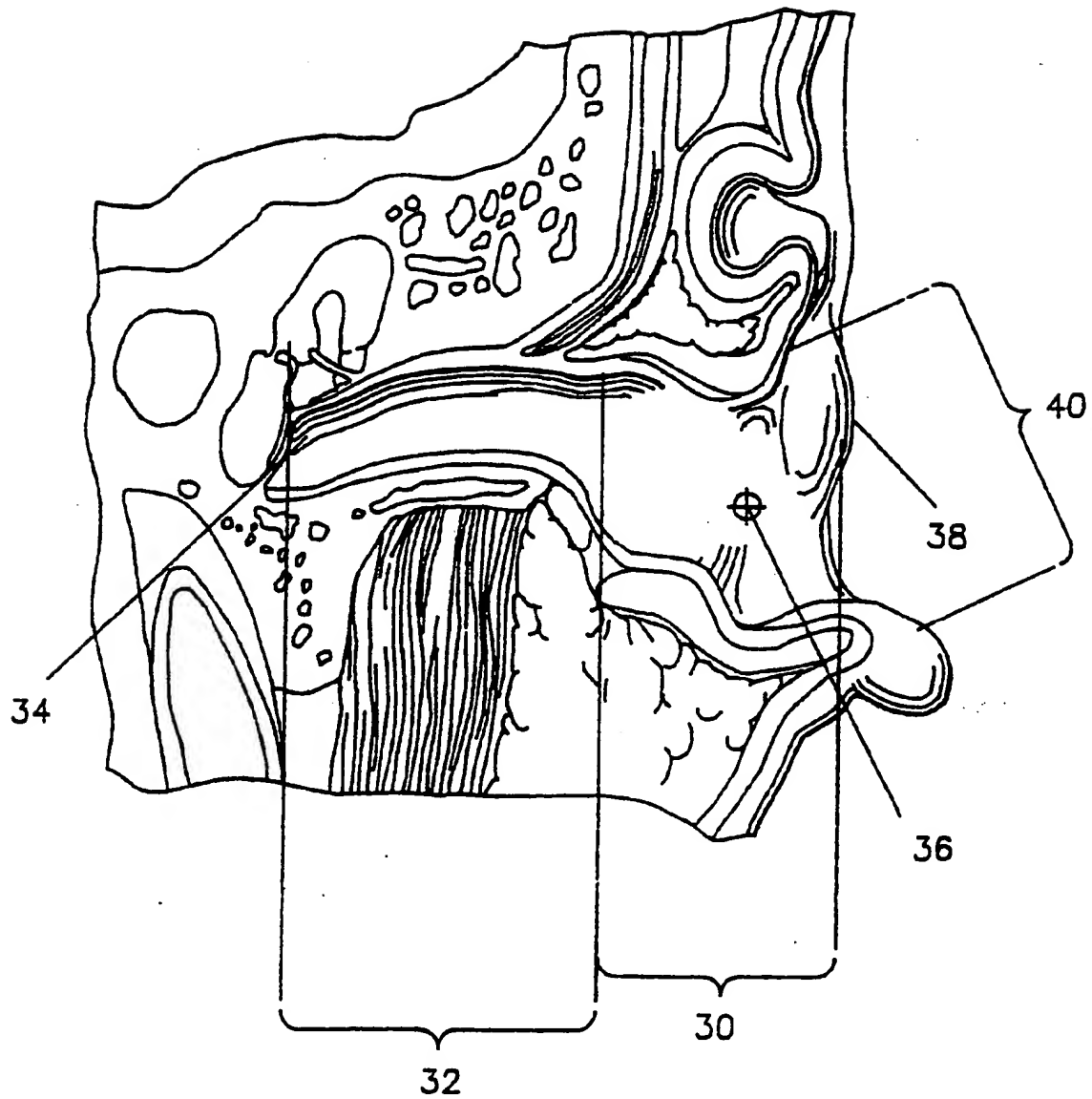


FIG. 3B

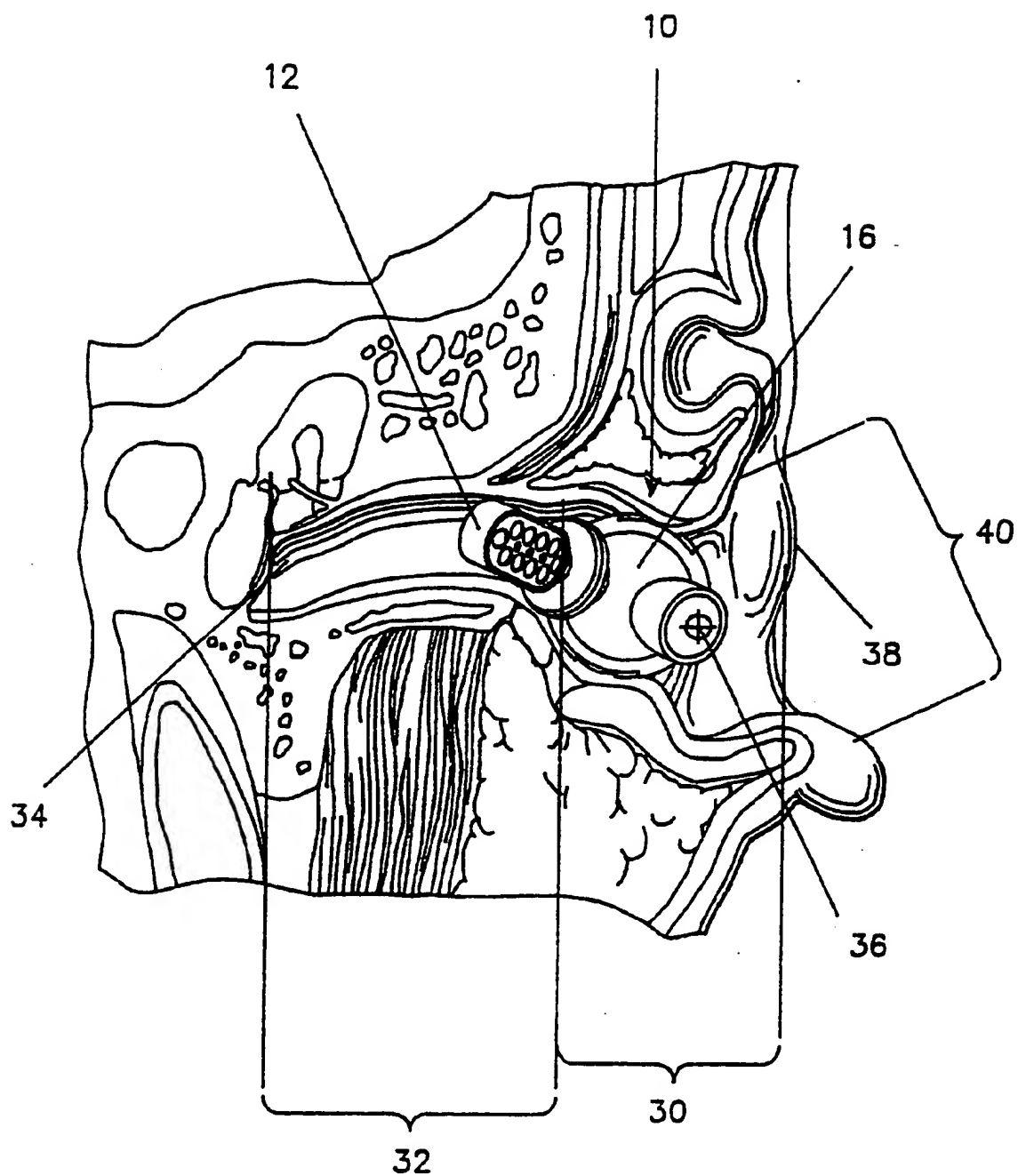
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FIG. 4A



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FIG. 4B



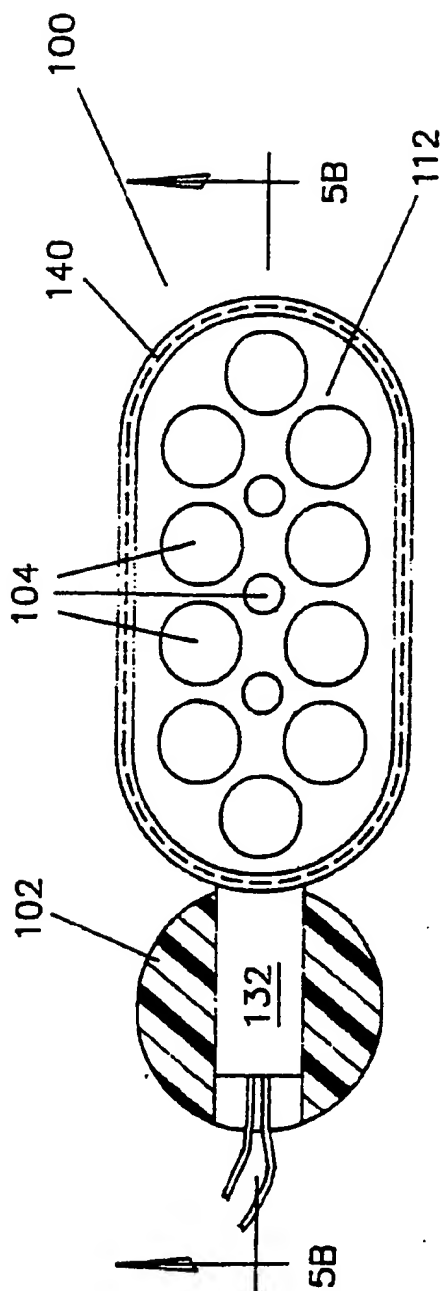


FIG. 5A

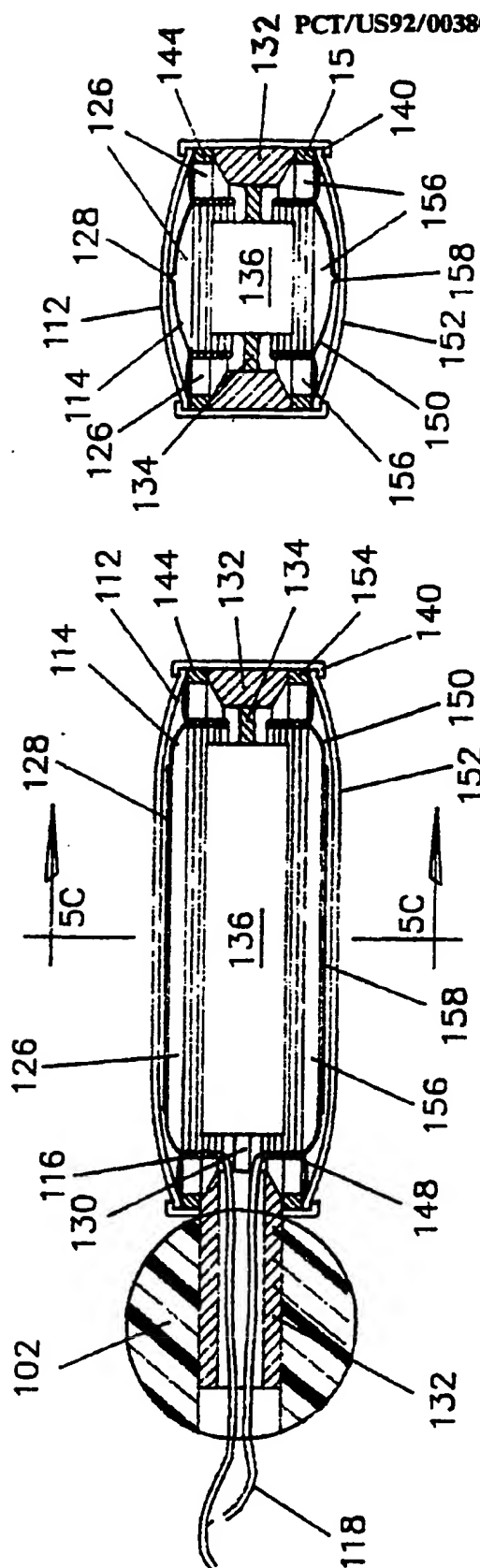


FIG. 5B

FIG. 5C



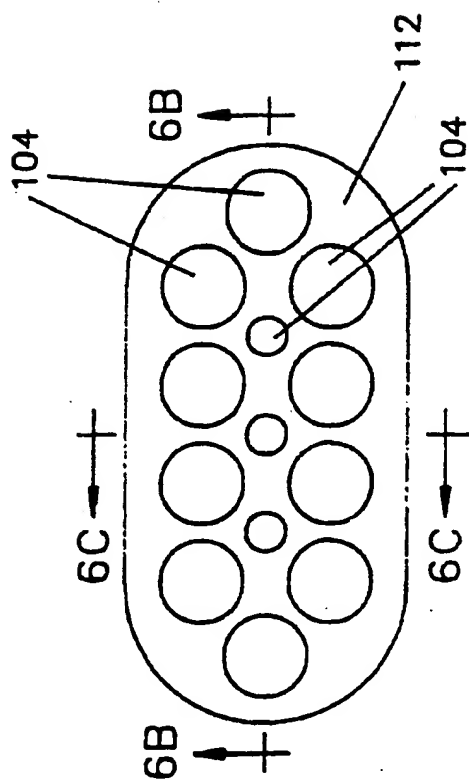


FIG. 6A



FIG. 6C



FIG. 6B

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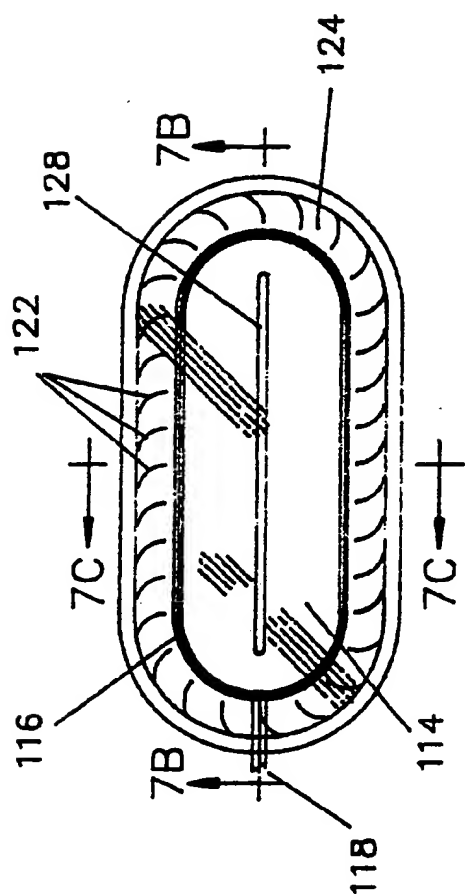


FIG. 7A

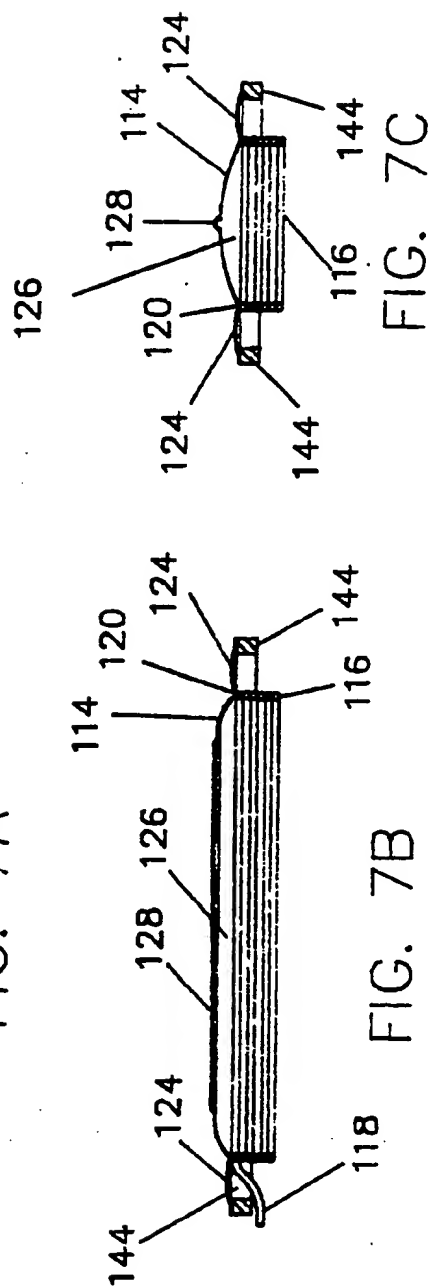


FIG. 7B

FIG. 7C

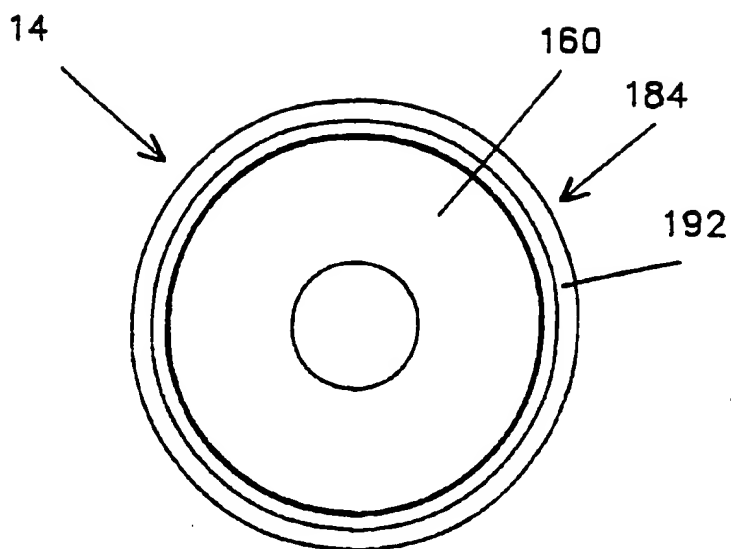


FIG. 8A

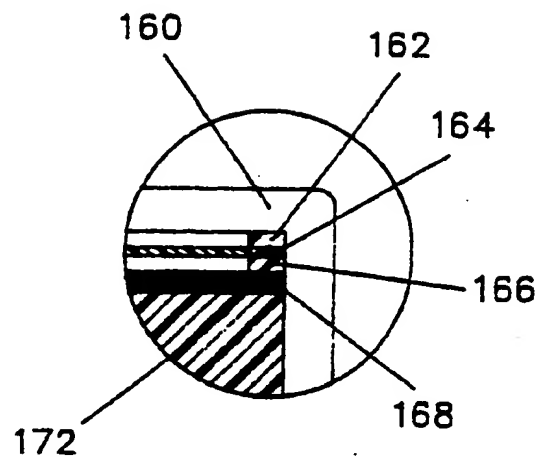


FIG. 8C

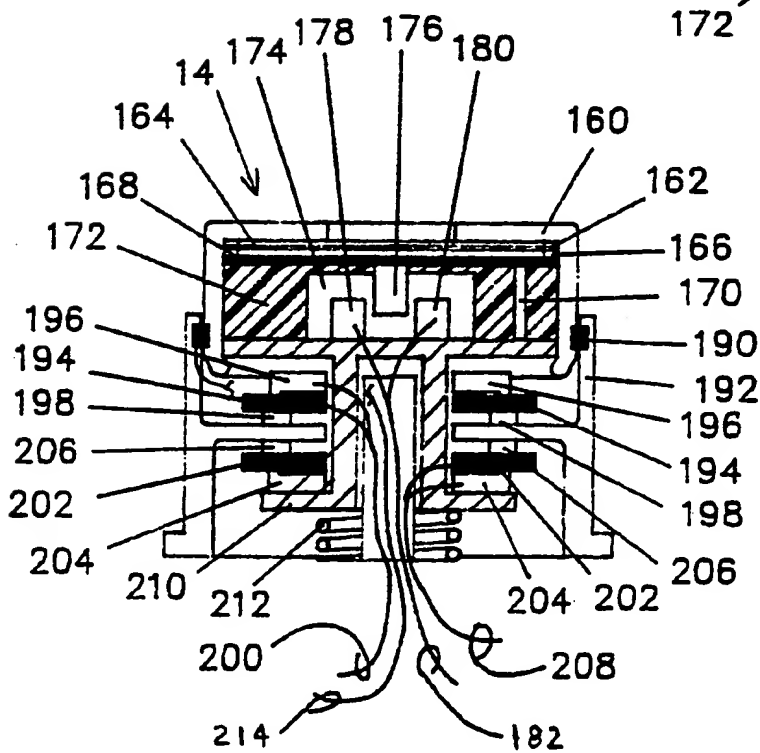


FIG. 8B

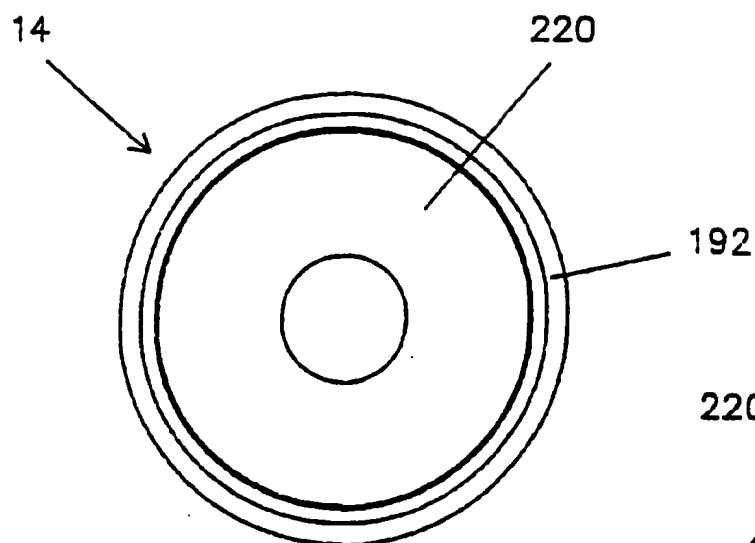


FIG. 9A

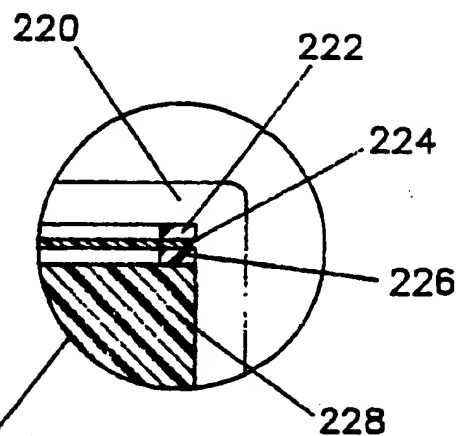


FIG. 9C

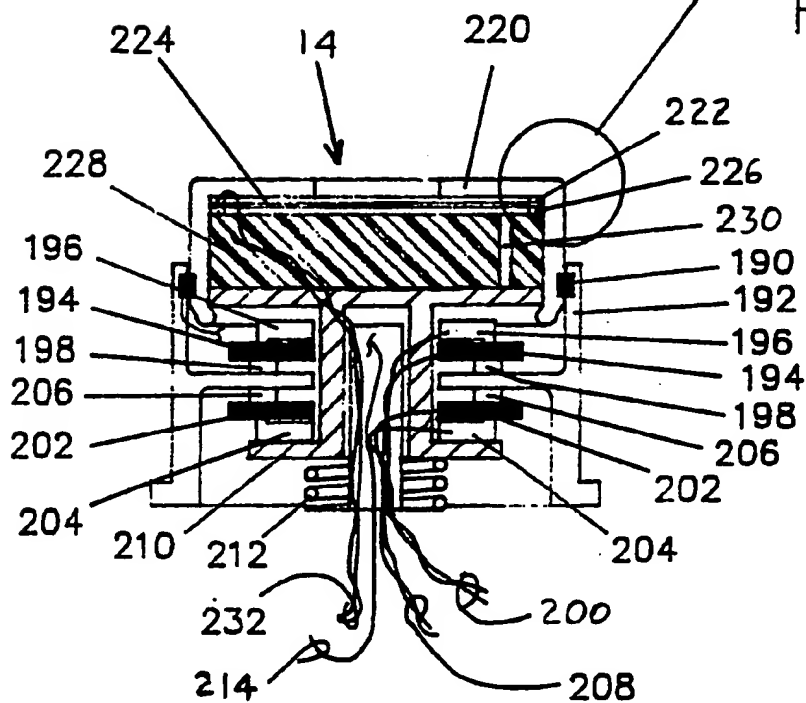


FIG. 9B

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FIG. 10 A

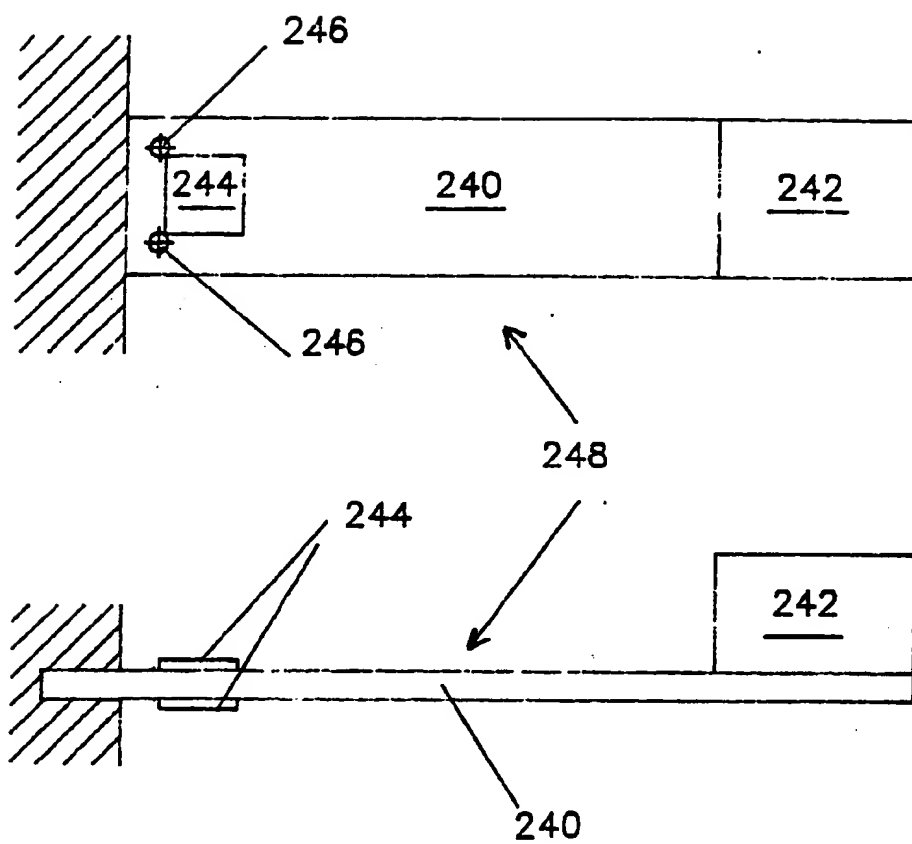


FIG. 10 B

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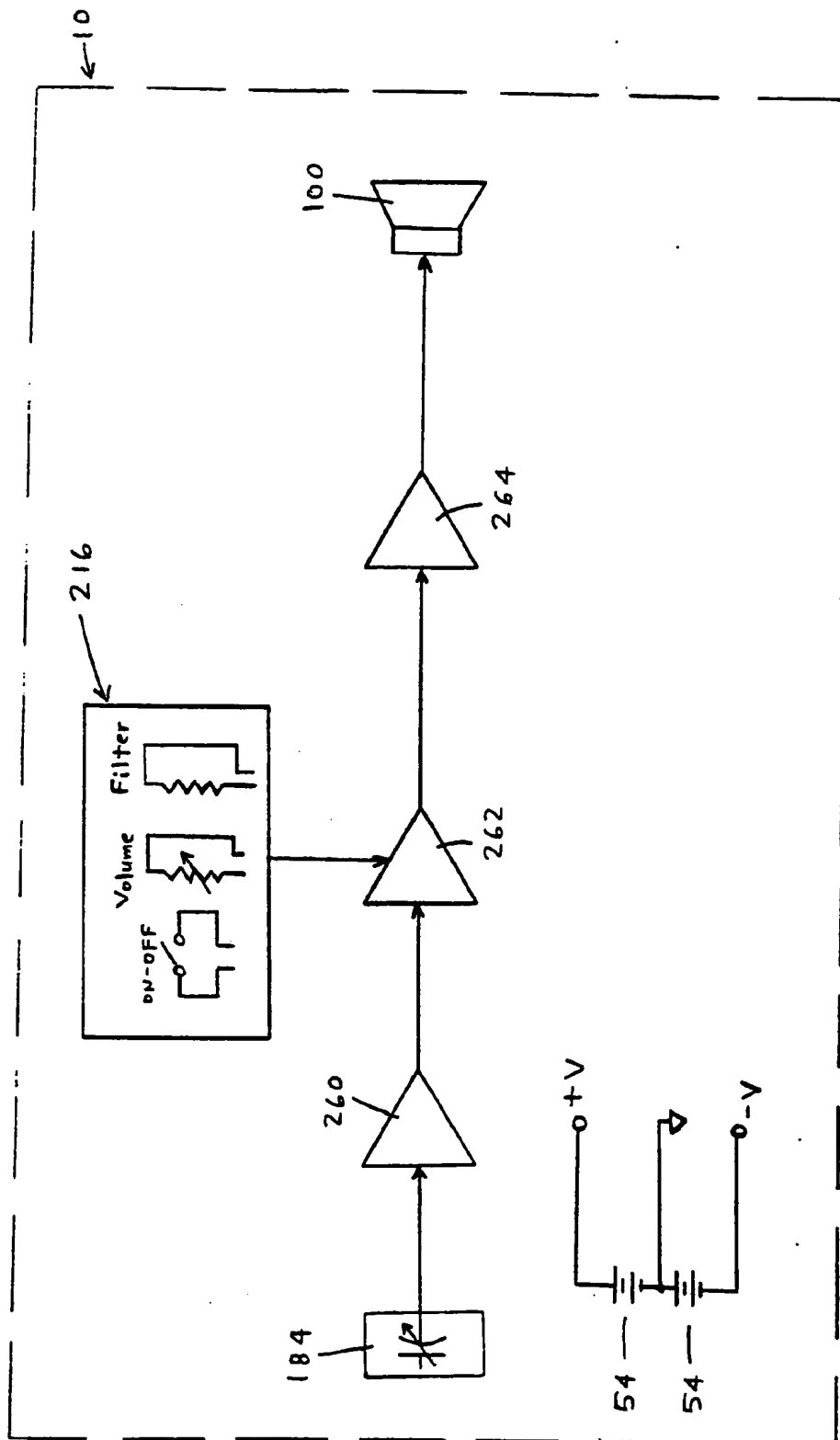
FIG. 11

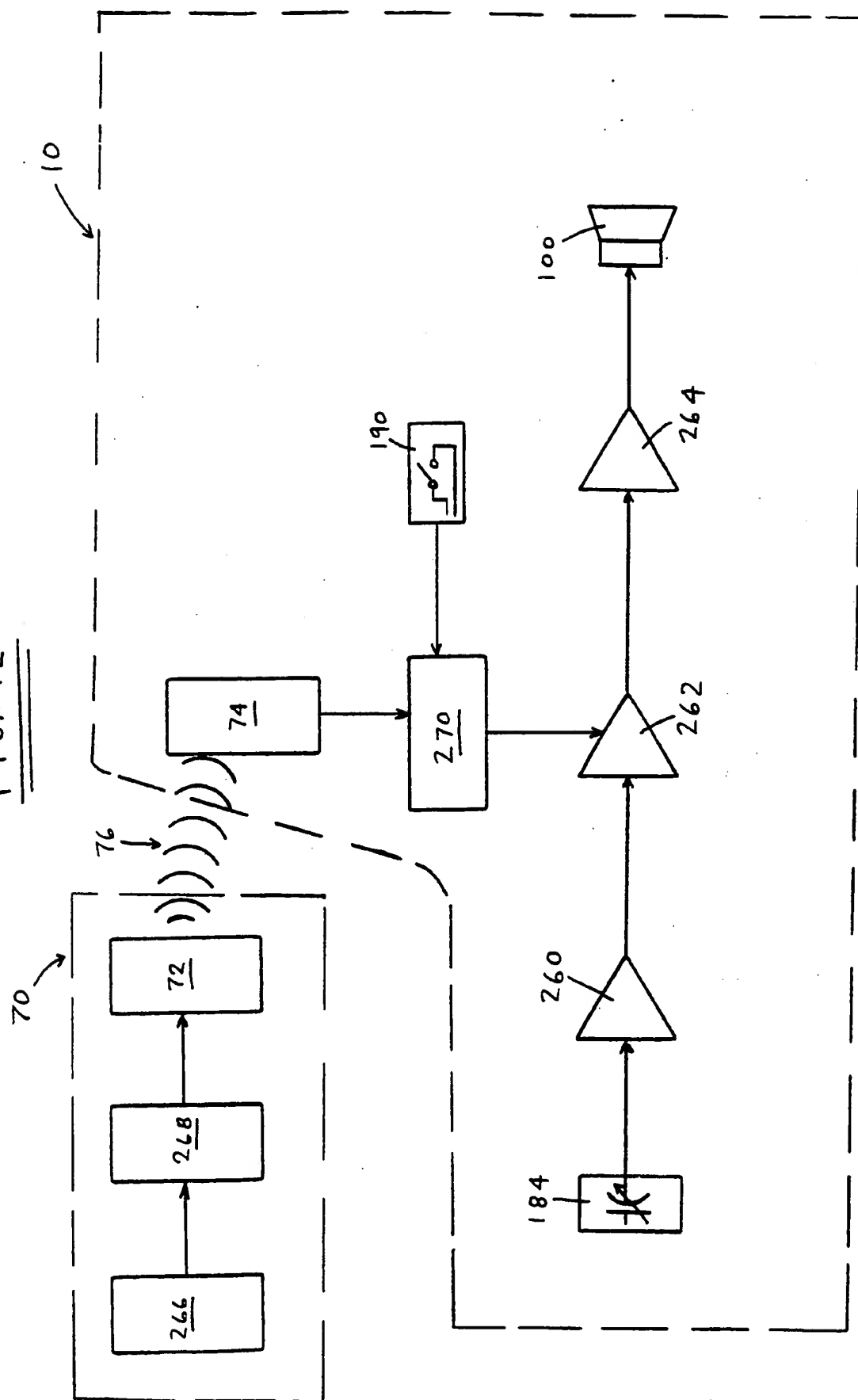
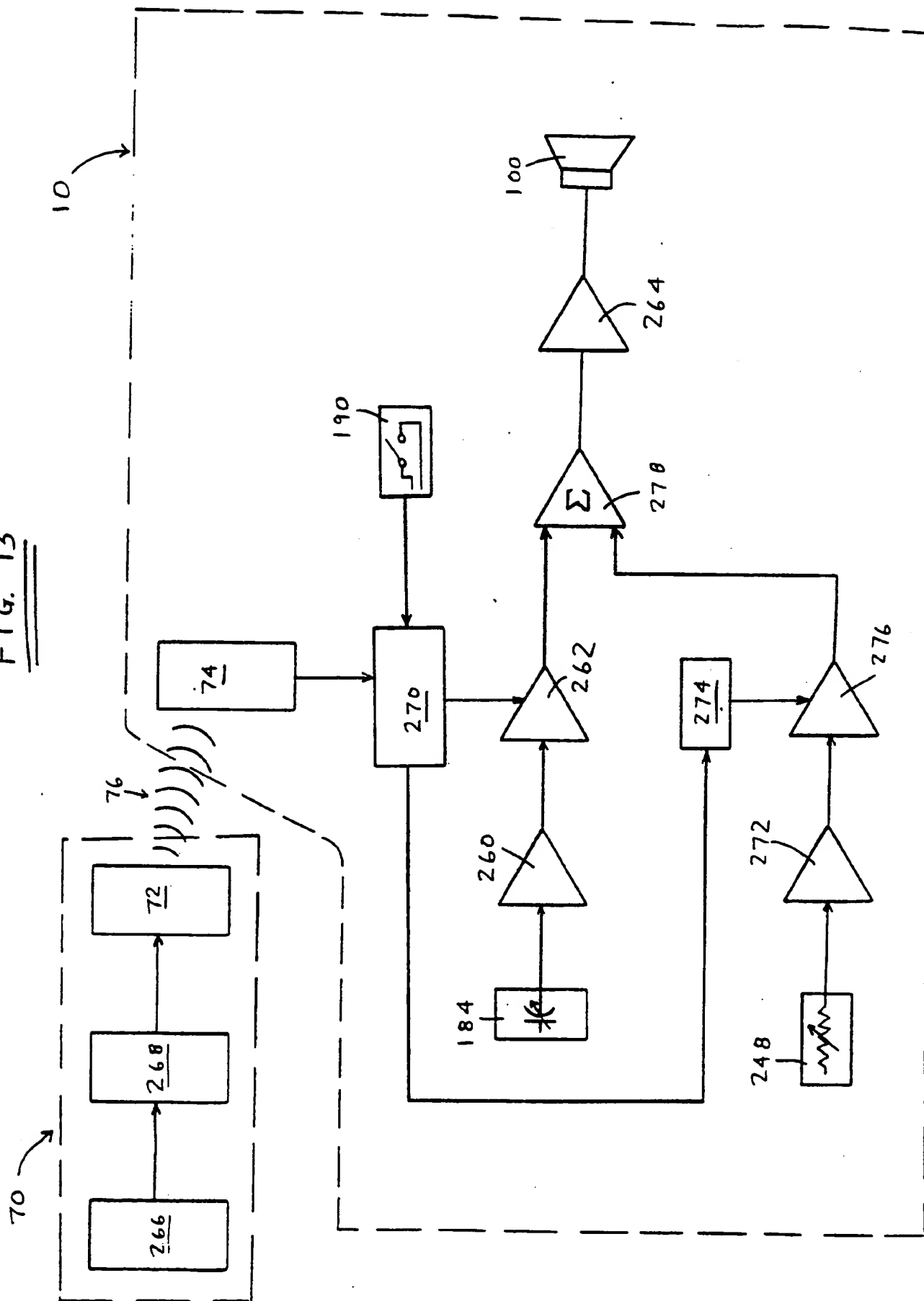
FIG. 12

FIG. 13



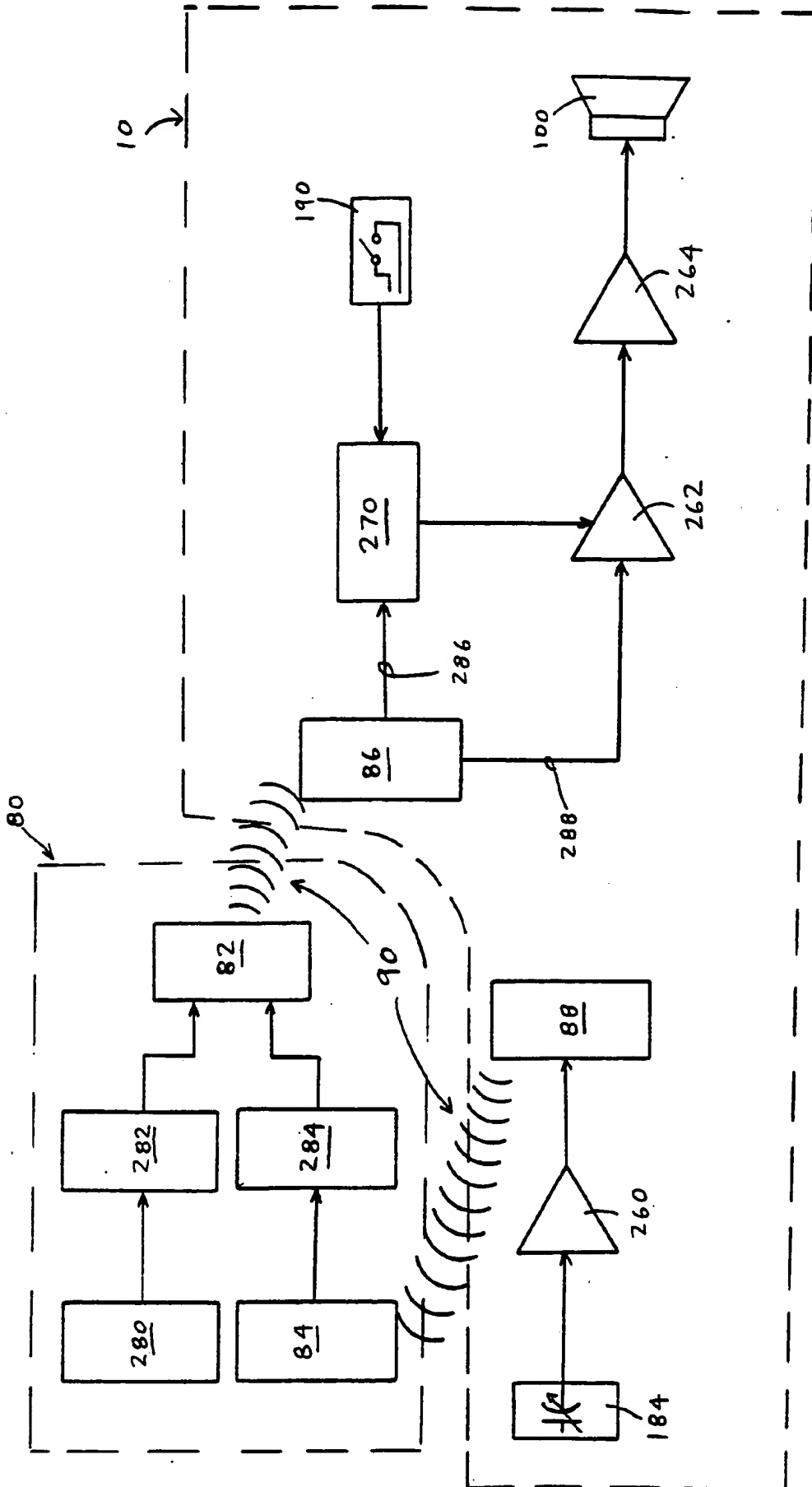
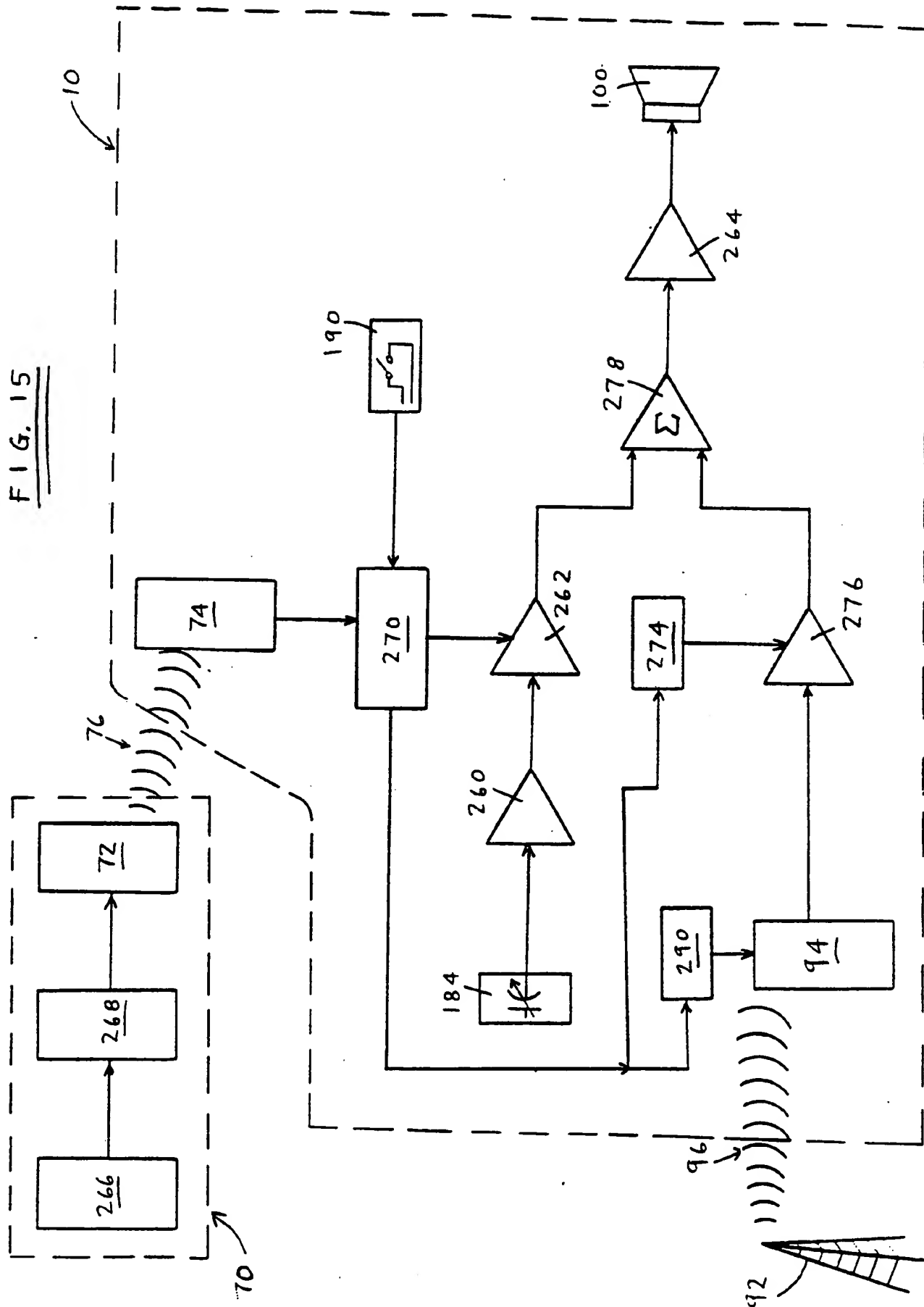


FIG. 14

FIG. 15



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US92/00380

|   |   |   |
|---|---|---|
| <b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) *  |   |   |
| According to International Patent Classification (IPC) or to both National Classification and IPC   |   |   |
| IPC(5): H04R 25/00;   |   |   |
| US CL : 381/68,68.2-69.4,68.6,69; 600/25; 128/420.5,420.6   |   |   |
| <b>II. FIELDS SEARCHED</b>  |   |   |
| Minimum Documentation Searched ?  |   |   |
| Classification System   | Classification Symbols  |   |
| U.S.  | 381/68,68.2-68.4,68.6,69,151,110,122,186,203; 181/130,135<br>128/420.5,420.6; 600/25          |   |
| Documentation Searched other than Minimum Documentation<br>to the Extent that such Documents are included in the Fields Searched *  |   |   |
| <b>III. DOCUMENTS CONSIDERED TO BE RELEVANT *</b>   |   |   |
| Category *  | Citation of Document, " with indication, where appropriate, of the relevant passages *        | Relevant to Claim No. *                             |
| X<br>Y  | US, A, 3,527,901 (GEIB) 08 SEPTEMBER 1970<br>See the entire document.                         | 1,2,19-22,46<br>3-18,23-33                          |
| X<br>Y  | US, A, 4,901,354 (GOLLMAR) 13 FEBRUARY 1990<br>See col. 2, line 63 through column 3, line 44. | 40<br>23-32,41-44                                   |
| X<br>Y  | US, A, 3,746,789 (ALCIVAR) 17 JULY 1973<br>See column 1, line 62 through column 5, line 55.   | 40,45<br>23,33                                      |
| Y   | US, A, 4,622,692 (COLE) 11 NOVEMBER 1986<br>See column 3, line 14 through column 4, line 31.  | 3-8,18  |
| Y   | US, A, 3,873,784 (DOSCHEK) 25 MARCH 1975<br>See figs. 18,27,38.                               | 9-12,13-17  |
| Y   | US, A, 4,920,570 (WEST) 24 APRIL 1990<br>See the entire document.                             | 35,36   |
| Y   | US, A, 4,334,315 (ONO) 08 JUNE 1982<br>See fig. 12.   | 35,36   |
| Y   | US, A, 4,150,262 (ONO) 17 APRIL 1979<br>See fig. 12.  | 24-27,41-44   |
| <p>* Special categories of cited documents: *</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"A" document member of the same patent family</p> |   |   |
| <b>IV. CERTIFICATION</b>  |   |   |
| Date of the Actual Completion of the International Search   |   | Date of Mailing of this International Search Report |
| 19 FEBRUARY 1992  |   | 25 MAR 1992   |
| International Searching Authority   |   | Signature of Authorized Officer                     |
| ISA/US  |   | ANDREW ROBINSON<br>JASON CHAN                       |

## FURTHER INFORMATION CONTINUED FROM THE SEC NO SHEET

|   |  |       |
|---|--|-------|
| Y | US, A, 4,880,076 (AHLBERG) 14 NOVEMBER 1989<br>See column 5, lines 16-27.            | 13,14 |
| Y | US, A, 4,329,676 (MCDONALD) 11 MAY 1982<br>See column 6, lines 1-4.                  | 29    |
| Y | US, A, 4,068,090 (KOMATSU) 10 JANUARY 1978<br>See column 8, lines 41-42 and fig. 12. | 30-32 |

☒ **OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>**

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers \_\_\_\_\_ because they relate to subject matter<sup>1,2</sup> not required to be searched by this Authority, namely:

2. ☐ Claim numbers \_\_\_\_\_ because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out<sup>1,2</sup>, specifically:

3. ☐ Claim numbers \_\_\_\_\_ because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

**VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>3</sup>**

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

**Remarks on Protest**

☐ The additional search fees were accompanied by applicant's protest.

☐ No protest accompanied the payment of additional search fees.